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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

INTERTRUST TECHNOLOGIES CORPORATION, a Delaware corporation, Plaintiff, v. MICROSOFT CORPORATION, a Washington corporation, Defendant. AND COUNTER ACTION.	Case No. C 01-1640 SBA (MEJ) Consolidated with C 02-0647 SBA INTERTRUST'S DISCLOSURES OF ASSERTED CLAIMS AND PRELIMINARY INFRINGEMENT CONTENTIONS PURSUANT TO PATENT LOCAL RULES 3-1 and 3-2 ('683, '193, '861, '721, '891, '900, '912, '019, '876, '181, and '402 Patents)

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PATENT INITIAL DISCLOSURES, '683, '193, '861, '721, '891, '900, '912, '019, '876, '181, and '402 PATENTS
CASE NO. C 01-1640 SBA (MEJ), CONSOLIDATED WITH C 02-0647 SBA

Pursuant to the Court's August 8, 2003 Order, Plaintiff InterTrust Technologies Corporation ("InterTrust") hereby submits its Disclosures of Asserted Claims and Preliminary Infringement Contentions under Patent Local Rules 3-1 and 3-2 ("PLR 3-1 & 3-2 Disclosures") to Defendant Microsoft Corporation ("Microsoft"). These PLR 3-1 & 3-2 Disclosures supercede all previous PLR 3-1 and PLR 3-2 disclosures served by InterTrust in this case.

PATENT LOCAL RULE 3-1: DISCLOSURE OF ASSERTED CLAIMS AND PRELIMINARY INFRINGEMENT CONTENTIONS

(a) Asserted claims

InterTrust currently contends that the Microsoft products identified herein infringe the claims of U.S. Patents Nos. 6,185,683 B1 ("the '683 patent"); 6,253,193 B1 ("the '193 patent"); 5,920,861 ("the '861 patent"); 6,157,721 ("the '721 patent"); 5,982,891 ("the '891 patent"); 5,892,900 ("the '900 patent"); 5,917,912 ("the '912 patent"); 5,915,019 ("the '019 patent"); 5,949,876 ("the '876 patent"); 6,112,181 ("the '181 patent"); and 6,389,402 B1 ("the '402 patent"), as identified in the attached claim charts. As discovery progresses, InterTrust may determine that additional Microsoft products infringe the asserted patents and/or that Microsoft infringes additional patent claims. InterTrust reserves the right to supplement and/or amend its disclosures and infringement contentions.

(b) Accused products

InterTrust contends that various Microsoft products infringe the patent claims identified in the claim charts attached hereto. Accused products are listed in Exhibit A hereto. Accused products are listed in Exhibit A hereto, which is intended to encompass past, present, and future product versions that include the accused features and/or functionality.

(c) Claim charts

InterTrust submits the attached claim charts based solely on information available to it to date. Discovery is ongoing, and additional information is likely to be produced during discovery. InterTrust therefore reserves the right to supplement and/or amend its infringement assertions as discovery proceeds.

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1   InterTrust contends that Microsoft infringes at least the claims of the '683, '193, '861,

2 '721, '891, '900, '912, '019, '876, '181, and '402 patents identified in the claim charts attached

3 hereto as Exhibits B and C:[1]

4   **(d) Literal infringement and the doctrine of equivalents**

5   InterTrust contends that Microsoft infringes the claims of the '683, '193, '861, '721,

6 '891, '900, '912, '019, '876, '181, and '402 patents as specified in Exhibits B and C both

7 literally and under the doctrine of equivalents.

8   **(e) Priority from earlier applications**

9   InterTrust claims priority for the claims of the '891, '912, '683, '193, '019, '876, and

10 '402 patents-in-suit dating to application No. 08/388,107, filed February 13, 1995. InterTrust

11 claims priority for the claims of the '900 patent-in-suit dating to application No. 08/695,927,

12 filed August 12, 1996. InterTrust does not claim priority for the claims of the '721, '861, and

13 '181 patents-in-suit dating to any earlier application.

14   **(f) Reliance on InterTrust's own products**

15   InterTrust does not currently intend to rely on the assertion that its own Commerce and

16 Rights System products practice at least some of the claimed inventions of the '683, '193, '861,

17 '721, '891, '900, '912, '019, '876, '181, and '402 patents-in-suit to support its infringement

18 assertions against Microsoft.

19 **PATENT LOCAL RULE 3-2: DOCUMENT PRODUCTION ACCOMPANYING DISCLOSURE**

20   **(a) Documents re disclosure and/or offer of sale**

21   InterTrust is not currently aware of such documents other than the documents that have

22 previously been produced. <u>See</u> IT00017664-19168, IT00020866-21695, IT00021700-23578,

23 _____

[1] Exhibit B contains claim charts based upon publicly available or non-confidential sources.
24 Exhibit C contains additional claim charts referencing material designated as "Attorneys' Eyes
Only" by Microsoft, and is served under separate caption. No other information contained in
25 these disclosures is designated confidential by either party, and InterTrust does not object to
dissemination of this document, other than Exhibit C, to persons not permitted to view
26 confidential information in this case. For ease of reference, the claim charts attached hereto
include all claims previously disclosed by InterTrust, as well as new claims.
27 Numbering/lettering/bolding has been added to the text of each claim for convenience only, and
is not intended to alter, expand, or interpret the meaning of those claims. In instances where
28 infringement claims are illustrated by quotation or reference to Microsoft documents, those

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    (b) Documents re conception, reduction to practice, and/or design/development

    InterTrust has produced nonprivileged documents concerning the conception, design, development, and reduction to practice of the inventions disclosed in the patents-in-suit. <u>See</u>, e.g., IT00000005-17261, IT00036207-38606, IT00041497-549. In addition, InterTrust has produced voluminous archives of source code created in the course of its business, some of which may constitute additional evidence of the conception, design, development, and reduction to practice of its patented inventions. InterTrust is not currently aware of any other such nonprivileged documents in its possession or control other than said source code and the source code and documents that have been produced.

    (c) Prosecution history of patents-in-suit

    The prosecution histories of the patents-in-suit have previously been produced. <u>See</u>, <u>e.g.</u>, IT00062350-67643, IT00070342-72434, FH00107455 – 107731, FH00113539-118857, FH118866-121322.

Dated: September 2, 2003

KEKER & VAN NEST, LLP

By: _____
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references are intended to be exemplary only, and not limiting.

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A

Microsoft Accused Products

Visual Studio .Net Enterprise Architect
Visual Studio .NET Enterprise Developer
Visual Studio .NET Professional
Visual Studio .Net
ASP.Net
.NET Framework SDK
.Net License Compiler

Office XP Standard
Office XP Professional
Office XP Professional with FrontPage
Office XP Developer
Windows XP Home Edition
Windows XP Professional
Access 2002
Excel 2002
FrontPage 2002
Outlook® 2002
PowerPoint ® 2002
Project 2002
Publisher ® 2002
Visio® 2002
Word 2002
Visio Enterprise Network Tools
Office 2000 SR-1
Project 2000 SR-1
Windows XP Embedded
Windows CE .NET
Windows CE for Automotive
Mobility and Wireless Solutions for business
Mobile Devices
Pocket PC
Microsoft Smartphone Platform
Microsoft XBOX
Windows ME
Digital Asset Server
Microsoft Reader
Windows Media Player
Windows Media Rights Manager SDK
Windows Media Device DRM technology
Microsoft Secure Audio Path technology

Microsoft System Management Server
Windows File Protection System
Microsoft ActiveX technology, including all Microsoft tools that support
the Microsoft ActiveX licensing model

All products that contain the Microsoft Common Language Runtime
(CLR), Microsoft Compact CLR, or Microsoft implemented .Net
Common Language Infrastructure

Application Center
BizTalk Server
Commerce Server
Content Management Server
Exchange Server
Host Integration Server
Internet Security and Acceleration Server
Mobile Information Server
SharePoint Portal Server
SQL Server
Windows 2000 Server
.NET Enterprise Services
.NET Infrastructure and Services

Microsoft Installer SDK
All products that contain the Microsoft Installer Technology

Microsoft .Net MyServices
Windows Hardware Quality Labs Certification Services

Office 2003 and included applications

Server 2003, including Microsoft hosted RMS Services using Passport

B

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,892,900

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
155.	Products infringing: Any product using Microsoft Product Activation or Reader Activation feature.
A virtual distribution environment comprising	
(a) a first host processing environment comprising	computer running a Microsoft product containing the Product Activation feature, including Windows XP, Office XP, Visio 2002. Reader using its activation feature.
(1) a central processing unit;	CPU of computer
(2) main memory operatively connected to said central processing unit;	main memory of computer
(3) mass storage operatively connected to said central processing unit and said main memory;	hard disk or other mass storage contained in computer
(b) said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	Microsoft Product Activation software
(1) machine check programming which derives information from one or more aspects of said host processing environment,	Product Activation software generates hardware information relating to the host processing environment as part of the activation process
(2) one or more storage locations storing said information;	hardware information is stored in the computer's storage
(3) integrity programming which	
(i) causes said machine check programming to derive said information,	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(ii) compares said information to information previously stored in said one or more storage locations, and	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(iii) generates an indication based on the result of said comparison; and	Product Activation software indicates whether the test has passed or failed
(4) programming which takes one or more actions based on the state of said indication;	
(i) said one or more actions including at least temporarily halting further processing.	Product Activation software will allow system startup procedures to continue, if test succeeds, or discontinue startup and offer user opportunity to reactivate if the test fails

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,892,900

156.	Product Infringing: Any product using Microsoft Product Activation or Reader Activation feature.
A virtual distribution environment comprising	
(a) a first host processing environment comprising	computer running a Microsoft product containing the Product Activation feature, including Windows XP, Office XP, Visio 2002 and Reader
(1) a central processing unit;	CPU of computer
(2) main memory operatively connected to said central processing unit;	main memory of computer
(3) mass storage operatively connected to said central processing unit and said main memory;	hard disk or other mass storage contained in computer
(b) said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	Microsoft Product Activation software
(1) machine check programming which derives information from one or more aspects of said host processing environment,	Product Activation software generates hardware information relating to the host processing environment as part of the activation process
(2) one or more storage locations storing said information;	hardware information is stored in the computer's storage
(3) integrity programming which	
(i) causes said machine check programming to derive said information,	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(ii) compares said information to information previously stored in said one or more storage locations, and	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(iii) generates an indication based on the result of said comparison; and	Product Activation software indicates whether the test has passed or failed
(4) programming which takes one or more actions based on the state of said indication;	
(i) said one or more actions including at least temporarily disabling certain functions.	Product Activation may disable the underlying software from generating new files or running user applications if the test fails

157.	Product Infringing: Any product using Microsoft Product Activation or Reader Activation feature.
A virtual distribution environment comprising	
(a) a first host processing environment comprising	computer running a Microsoft product containing the Product Activation feature, including Windows XP, Office XP, Visio 2002 and Reader
(1) a central processing unit;	CPU of computer
(2) main memory operatively connected to said central processing unit;	main memory of computer
(3) mass storage operatively connected to said central processing unit and said main memory;	hard disk or other mass storage contained in computer
(b) said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	Microsoft Product Activation software
(1) machine check programming which derives information from one or more aspects of said host processing environment,	Product Activation software generates hash information relating to the host processing environment as part of the activation process
(2) one or more storage locations storing said information;	hardware information is stored in the computer's storage
(3) integrity programming which	
(i) causes said machine check programming to derive said information,	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(ii) compares said information to information previously stored in said one or more storage locations, and	each time the Microsoft program starts up after initial activation, Product Activation checks the originally derived hardware information against current hardware
(iii) generates an indication based on the result of said comparison; and	Product Activation software indicates whether the test has passed or failed
(4) programming which takes one or more actions based on the state of said indication;	
(i) said one or more actions including displaying a message to the user.	Product Activation software displays a message to the user if the test fails

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,892,900

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
156.	Products infringing: Windows Media Player
A virtual distribution environment comprising	
a first host processing environment comprising	WMP with Individualized DRM client (referred to hereafter as the Individualized WMP) running on a client computer
a central processing unit	Client CPU
main memory operatively connected to said central processing unit	Client memory
mass storage operatively connected to said central processing unit and said main memory	Local disk drive
said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	Individualized WMP (I-WMP) stored on disk and loaded into main memory upon execution. I-WMP is tamper resistant.
machine check programming which derives information from one or more aspects of said host processing environment,	Individualization module is generated by the MS individualization service either when the un-individualized WMP tries to open licensed content that requires a security upgrade (aka, Individualization) or when the user requests an upgrade un-provoked. The individualization module is unique and signed and is bound to a unique hardware ID using the MS machine activation process.
one or more storage locations storing said information	The aforementioned unique feature are located in multiple places or storage locations
integrity programming which	
causes said machine check programming to derive said information,	The ID is regenerated by WMP/DRM client when first loading the Individualized DRM Client to access a piece of content requiring the security upgrade.
compares said information to information previously stored in said one or more storage locations, and	The program checks the new copy against the one to which the Individualized DRM client is bound.
generates an indication based on the result of said comparison; and	Program stores the result of this check.
programming which takes one or more actions based on the state of said indication	If these are not equal, the user is notified via a message stating that he/she must acquire a security upgrade (that is, the current security upgrade is invalid). If they are equal then processing of songs requiring Individualization continues.
said one or more actions including at least temporarily disabling certain functions.	Songs targeted to this Individualization module cannot be accessed until the upgrade is correct.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,892,900

157. A virtual distribution environment comprising	Infringing products include: Windows Media Player
a first host processing environment comprising	See 156
a central processing unit	See 156
main memory operatively connected to said central processing unit	See 156
mass storage operatively connected to said central processing unit and said main memory	See 156
said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	See 156
machine check programming which derives information from one or more aspects of said host processing environment,	See 156
one or more storage locations storing said information	See 156
integrity programming which causes said machine check programming to derive said information compares said information to information previously stored in said one or more storage locations, and	See 156
generates an indication based on the result of said comparison; and	See 156
programming which takes one or more actions based on the state of said indication	See 156
said one or more actions including displaying a message to the user.	If these are not equal, the user is notified via a message stating that he/she must acquire a security upgrade (that is, the current security upgrade is invalid).

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,892,900

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
157.	Infringing Product: Microsoft's Windows File Protection and System File Checker features, embodied in Microsoft's Windows 2000, Windows XP products, and Server 2003
A virtual distribution environment comprising	
(a) a first host processing environment comprising	computer running Microsoft Windows 2000 or Windows XP.
(1) a central processing unit;	CPU of computer
(2) main memory operatively connected to said central processing unit;	main memory of computer
(3) mass storage operatively connected to said central processing unit and said main memory;	hard disk or other mass storage contained in computer
(b) said mass storage storing tamper resistant software designed to be loaded into said main memory and executed by said central processing unit, said tamper resistant software comprising:	Windows File Protection process/service ("WFP") and System File Checker (SFC.exe) features of winlogon.exe. Winlogon.exe is treated as a "critical" service by the Windows operating system. Files supporting WFP (including winlogon.exe, sfc.exe, sfc.dll (2000 only), sfcfiles.dll (2000 only) and sfc_os.dll (XP only)) are "protected" files and are signed using a signature verified by a hidden key. In Windows 2000, WFP uses hidden functions within the sfc.dll library. Functions are imported by "ordinal" instead of "name."
(1) machine check programming which derives information from one or more aspects of said host processing environment,	Winlogon either directly or using another dll (XP) or using SFC.dll (2000) determines if changed file was protected, computes the hash of protected files and, if necessary, computes the hash of the file in the dll cache before using it to replace a file overwritten by an incorrect version of the file.
(2) one or more storage locations storing said information;	hardware information is stored in the computer's memory
(3) integrity programming which	
(i) causes said machine check programming to derive said information,	Windows notifies Winlogon when there has been a system directory change or a change in the dll cache.
(ii) compares said information to information previously stored in said one or more storage locations, and	Winlogon either directly or using another dll (XP) or using SFC.dll (2000) compares computed hash with hash in the hash database created from the Catalog file(s), and, if there is a difference, compares the hash of the file in the dll cache to the hash database created from

	the Catalog file(s) before using it to replace an overwritten file.
(iii) generates an indication based on the result of said comparison; and	An event is written to the Event Viewer if hashes do not agree.
(4) programming which takes one or more actions based on the state of said indication;	Depending on the circumstances, WFP displays several messages to the user, including prompting the user to contact the system administrator, and to insert a CD-ROM.
(i) said one or more actions including displaying a message to the user.	See above. Messages also constitute viewable Event Property pop-ups.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,917,912

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
6.	Product Infringing: XBox
A process comprising the following steps:	The process constitutes assembly and use of components making up an XBox game.
accessing a first record containing information directly or indirectly identifying one or more elements of a first component assembly,	The first record consists of the second file table on an XBox DVD. This table identifies the .xbe file which includes the game information.
at least one of said elements including at least some executable programming,	The xbe file includes executable programming.
at least one of said elements constituting a load module,	The xbe file is a load module.
said load module including executable programming and a header;	The xbe file includes a header.
at least a portion of said header is a public portion which is characterized by a relatively lower level of security protection; and	Most information the xbe header is not obfuscated.
at least a portion of said header is a private portion which is characterized, at least some of the time, by a level of security protection which is relatively higher than said relatively lower level of security protection,	The entry point address and the kernel image thunk address listed in the xbe header are obfuscated and therefore at a higher level of security protection.
using said information to identify and locate said one or more elements;	The second file table identifies the .xbe file, including where that file is located.
accessing said located one or more elements;	The .xbe file is accessed by the XBox.
securely assembling said one or more elements to form at least a portion of said first component assembly;	At runtime, the .xbe file is assembled with certain services of the operating system to form a component assembly. Security associated with this assembling process includes verifying signatures associated with portions of the .xbe file, and replacing obfuscated calls to operating system services with actual addresses. The assembly may also include patch files downloaded from a remote server.
executing at least some of said executable	Game play requires execution of the

programming; and	assembled programming.
checking said record for validity prior to performing said executing step.	The second file table is protected by a digital signature, and is not loaded/used unless the digital signature is verified against the file.
7. A process as in claim 6 in which:	
said relatively lower level of security protection comprises storing said public header portion in an unencrypted state; and	The header is protected by the techniques protecting the xbe such as signing and security descriptors, but it is not encrypted except as noted below.
said relatively higher level of security protection comprises storing said private header portion in an encrypted state.	The entry point address and the kernel image thunk address listed in the xbe header are obfuscated. The Xbox SDK's (XDK) image build uses a key value shared with the retail XBox to perform two XOR operations against the addresses

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,917,912

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
8.	Infringing products: Microsoft CLR or CCLR and .NET Framework SDK and products that include one or both of these.
A process comprising the following steps:	
(a) accessing a first record containing information directly or indirectly identifying one or more elements of a first component assembly,	The first record is either an assembly manifest, or a whole assembly; the elements are other assemblies that are referenced as external in the first record; the first component assembly is a .NET application domain.
(1) at least one of said elements including at least some executable programming,	Assembly contains executable programming.
(2) at least one of said elements constituting a load module,	This is an external assembly referenced in the first record.
(i) said load module including executable programming and a header;	Assemblies include executable programming, and the assembly manifest and CLS type metadata constitute a header.
(ii) said header including an execution space identifier identifying at least one aspect of an execution space required for use and/or execution of the load module associated with said header;	This feature is provided for in the .NET architecture through numerous mechanisms, for example, by demands for ZoneID permissions.
(iii) said execution space identifier provides the capability for distinguishing between execution spaces providing a higher level of security and execution spaces providing a lower level of security;	SecurityZone or other evidence provides this capability.
(b) using said information to identify and locate said one or more elements;	Manifest and type metadata information section is used to identify and locate files, code elements, resource elements, individual classes and methods.
(c) accessing said located one or more elements;	Step carried out by the CLR or CCLR loader.
(d) securely assembling said one or more elements to form at least a portion of said first component assembly;	CLR or CCLR carries out this step, including checking the integrity of the load module, checking the load module's permissions, placing the load module contents into an application domain, isolating it from malicious or badly behaved code, and from code that does not have the permission to call it.
(e) executing at least some of said executable programming; and	Step carried out by the CLR/CCLR and the CLR/CCLR host.

(f) checking said record for validity prior to performing said executing step.	The CLR/CCLR checks the authenticity and the integrity of the first .NET assembly.
9. A process as in claim 8 in which said execution space providing a higher level of security comprises a secure processing environment.	The CLR/CCLR constitutes a secure processing environment.
13. A process as in claim 8 further comprising:	
(a) comparing said execution space identifier against information identifying the execution space in which said executing step is to occur; and	In one example, the ZoneIdentityPermissionAttribute SecurityZone value demanded by control in the assembly manifest is compared against the SecurityZone attribute value corresponding to the calling method
(b) taking an action if said execution space identifier requires an execution space with a security level higher than that of the execution space in which said executing step is to occur.	CLR/CCLR will throw an exception and transfer control to an exception handler in the calling routine, or it will shut down the application if there is no such exception handler, if the permissions do not include the permissions required by the ZoneIdentityPermissionAttribute. The ZoneIdentityPermissions are hierarchical, unless customized.
14. A process as in claim 13 in which said action includes terminating said process prior to said executing step.	CLR/CCLR may terminate the process or transfer control to an exception handler that may itself terminate the process.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,917,912

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
8.	Products infringing include Windows Installer SDK, and products that include the Windows Installer technology.
A process comprising the following steps:	Scenario 1: use of Windows Installer packages (i.e. .MSI files) to create Windows Installer-enabled applications, such as Office 2000 and used of the WI service to install them. Scenario 2: software distribution technologies that use the Windows Installer OS service for installation, such as Internet Component Download and products like Office Web Components. Either scenario can be used by SMS, IntelliMirror and third party tools like InstallShield and WISE. NT or later operating systems (because they use the subsystem identifier) using cabinet files, .CAB, (because they have a manifest and INF and/or OSD files), and have been signed with a digital signature and will be authenticated by Authenticode or WinVerifyTrust API and contain at least one PE (portable executables)
(a) accessing a first record containing information directly or indirectly identifying one or more elements of a first component assembly,	Scenario 1: First record is the .MSI file that contains information on what goes in the assembly and how to install the assembly. Scenario 2: A. First record is the cabinet manifest (indirect instructions) B. Or, First record can be INF and/or OSD files (direct instructions)
(1) at least one of said elements including at least some executable programming,	Both scenarios: The PE (portable executable) in the cabinet file is the executable programming.
(2) at least one of said elements constituting a load module,	Both scenarios: PE is a load module:
(i) said load module including executable programming and a ·	Both scenarios: The PE has several headers.

header;	
(ii) said header including an execution space identifier identifying at least one aspect of an execution space required for use and/or execution of the load module associated with said header;	Both scenarios: SUBSYSTEM is a field in the PE Optional Header that is an execution space
(iii) said execution space identifier provides the capability for distinguishing between execution spaces providing a higher level of security and execution spaces providing a lower level of security;	Both scenarios: SUBSYSTEM distinguishes between programs that can run in kernel mode and those that can run in user mode. This is a key security concept of process separation that was introduced with Windows NT. The Subsystem field in the PE header is used by the system to indicate whether the executable will run within Ring 3 (user mode) or use Ring 0 (native or kernel mode). Anything running in Ring 3 is limited to its own processing space. Executables running in Ring 0 can reach out to other spaces and have security measure built around them.
(b) using said information to identify and locate said one or more elements;	Scenario 1: the MSI file identifies and locates the elements Scenario 2: .CAB manifest is used to identify Physical location OSD and/or INF is used to identify Logical location
(c) accessing said located one or more elements;	Scenario 1: Using the MSI file Scenario 2: Using INF and/or OSD in cabinet file.
(d) securely assembling said one or more elements to form at least a portion of said first component assembly;	Both scenarios: Using the Window Installer OS service with various properties and flags on the settings for higher protection. Windows Installer has numerous flags that the developer can set to indicate how the assembly will be installed, in what privilege level, with how much user interface, and how much ability the user has to watch or change what is occurring. These controls have been strengthened with each release of Windows Installer. Windows Installer 1.1 and later has the ability to limit the users capabilities during the installation. In a Windows 2000

environment and later, using the Group Policy-
based Change and Configuration Management,
the administrator has the most control

Fields that can be set by the developer or
administrator to control what users can do
include the following:
Transformssecure can be set to a value of 1
to inform the installer that transforms are to be
cached locally on the user's computer in a
location the user does not have write access.
(Transforms create custom installations from a
basic generic installation, for example to make
the Finance versions different from the
Marketing version or English versions different
from Japanese versions.)
AllowLockdownBrowse and *DisableBrowse*
can prevent users from browsing to the
sources.
SourceList can be used to specify the only
allowable source to be used for the installation
of a given component.
Environment can be used to specify whether
the installation can be done while the user is
logged on or only when no user is logged on.
Security Summary Property conveys whether
a package can be opened as read-only or with
no restriction.
Privileged Property is used by developers of
installer packages to make the installation
conditional upon system policy, the user being
an administrator, or assignment by an
administrator.
Restricted Public Properties can be set as
variables for an installation. "For managed
installations, the package author may need to
limit which public properties are passed to the
server side and can be changed by a user that is
not a system administrator. Some are
commonly necessary to maintain a secure
environment when the installation requires the
installer use elevated privileges. "
SecureCustomProperties can be created by the
author of an installation package to add
controls beyond the default list.
MsiSetInternalUI specifies the level of user
interface from none to full.
A *Sequence Table* can be used to specify the
required order of execution for the installation
process. There are three modes, one of which is
the *Administrative Installation* that is used by
the network administrator to assign and install
applications.
InstallServicesAction registers a service for
the system and it can only be used if the user is

	an administrator or has elevated privileges with permission to install services or that the application is part of a managed installation. *DisableMedia* system policy disables media sources and disables browsing to media sources. It can be used with *DisableBrowse* to secure installations version 1.1 that doesn't have some of the other capabilities. *AlwaysInstallElevated* can be set per user or per machine and is used to install managed applications with elevated privileges. AllowLockdownBrowse, AllowLockdownMedia and AllowLockdownPatch set these capabilities so they can only be performed by an administrator during an elevated installation. [See article "HowTo: Configure Windows Installer for Maximum Security (Q247528). Windows XP Professional and .NET have the additional capability to set *Software Restriction Policies* and have these used by Windows Installer. In addition, most of the software distribution technologies that use Windows Installer also add a layer of their own controls. For example, SMS 2.0 enables the administrators to control the installation is optional or required and whether the user can affect the installation contents/features at all.
(e) executing at least some of said executable programming; and	Both scenarios: Part of executable is called during installation in order to do self-registration or perform custom actions. The overall executable is used at runtime.
(f) checking said record for validity prior to performing said executing step.	Scenario 1: Sign the overall package and the cabinet files. Scenario 2: The cabinet file is signed. For IE with the default security level or higher, the digital signature is verified by Authenticode or a similar utility before the component is allowed to be assembled.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,917,912

35.	Products infringing include all products that host the Microsoft .NET Common Language Runtime or Compact Common Language Runtime.
A process comprising the following steps:	
(a) at a first processing environment receiving a first record from a second processing environment remote from said first processing environment;	Computer running the Microsoft CLR/CCLR receives, for example, a shared assembly header or a complete shared assembly from another computer, for example a server.
(1) said first record being received in a secure container;	The shared assembly is cryptographically hashed and signed.
(2) said first record containing identification information directly or indirectly identifying one or more elements of a first component assembly;	The first record is either an assembly manifest, or a whole assembly; the elements are other assemblies that are referenced as external in the first record; the first component assembly is a .NET application domain.
(i) at least one of said elements including at least some executable programming;	Assembly contains executable programming.
(ii) said component assembly allowing access to or use of specified information;	The specified information can include any kind of data file, stream, log, environment variables, etc.
(3) said secure container also including a first of said elements;	The shared assembly includes at least some executable programming.
(b) accessing said first record	CLR/CCLR accesses the assembly or assembly header.
(c) using said identification information to identify and locate said one or more elements;	Manifest and type metadata information section is used to identify and locate files, code elements, resource elements, individual classes and methods.
(1) said locating step including locating a second of said elements at a third processing environment located remotely from said first processing environment and said second processing environment;	Met by a multifile assembly, with files distributed across a network, or by the second element constituting another referenced assembly located elsewhere; the CLR/CCLR uses probing to locate and access the file.
(d) accessing said located one or more elements;	Step carried out by the CLR/CCLR loader.
(1) said element accessing step including retrieving said second element from said third processing environment;	Step carried out by the CLR/CCLR loader.
(e) securely assembling said one or more elements to form at least a portion of said first component assembly specified by said first record; and	CLR/CCLR carries out this step, including checking the integrity of the load module, checking the load module's permissions, placing the load module contents into an application domain, isolating it from malicious or badly behaved code, and from code that

	does not have the permission to call it.
(f) executing at least some of said executable programming.	Step carried out by the CLR/CCLR.
(1) said executing step taking place at said first processing environment.	CLR/CCLR is operating in the first processing environment specified above.

34.	Product Infringing: Microsoft Operating Systems that support device driver signature technology
A descriptive data structure embodied on a computer-readable medium or other logic device including the following elements:	
a representation of the format of data contained in a first rights management data structure	The driver package's INF is a data structure. The INF contains multiple types of sections, structured as hierarchy /"branches," that the Windows operating system or its Plug and Play and/or Set-up installation services "branch" through based on the operating system information and device for which a driver is to be installed. The installation services use the "branching" structure (format) to determine what files should be installed. The INF, further provides disk location information and file directory path information for the files identified as necessary as a result of the "branching" process. The driver package is a "rights management" data structure based on the fact that it is governed and based on the fact that it processes governed information. Rights Management as Governed Item A driver manufacturer can include rules governing the driver's installation and/or use in the driver's INF file. For example: Security entries specify an access control list for the driver. Driver developers can specify rules that determine behavior of the driver package based on the user's operating system version, including product type and suite and the device for which the driver is to be installed Rules specifying logging Local administrators can establish policy as to what action or notification should occur in the event that a driver being installed is not signed.

1		The operating system installation services have a ranking criteria it follows when multiple drivers are available for a newly detected device. The criterion is used to determine the driver best suited for ensuring compatibility with the operating system and ensuring functionality of the device.
2		
3		
4		
5		
6		Drivers have been certified to be compatible with specified operating system versions for their respective device classes. The catalog file protects the integrity of the driver.
7		
8		
9		Microsoft distributes the Driver Protection List to prevent known bad deriver from being installed.
10		
11		**Processing Rights Managed Items**
12		Certain drivers (SAP) have been explicitly certified to protect DRM content.
13		**MSDN – DRM Overview**
14		A DRM-compliant driver must prevent unauthorized copying while digital content is being played. In addition, the driver must disable all digital outputs that can transmit the content over a standard interface (such as S/PDIF) through which the decrypted content can be captured.
15		
16		
17		
18	said representation including:	
19	element information contained within said first rights management data structure; and	The elements of a driver package include: A driver that is typically a dynamic-link library with the *.sys* filename extension. An INF file containing information that the system Setup components use to install support for the device. A driver catalog file containing the digital signature. One or more optional co-installers which are a Win32® DLL that assists in device installation NT-based operating systems. Other files, such as a device installation application, a device icon, and so forth.
20		
21		
22		
23		
24		
25		
26		**XP DDK – INF Version Section**
27		The LayoutFile entry specifies one or more additional system-supplied INF files that contain layout information on the source media required for installing the software
28		

1		described in this INF. All system-supplied INF files specify this entry.
2		
3		The CatalogFile entry specifies a catalog (*.cat*) file to be included on the distribution media of a device/driver.
4	organization information regarding the organization of said elements within said first rights management data structure; and	Within an INF is a hierarchy with the top being a list of manufacturers, and sub-lists of models and at the bottom a list of install information by model.
5		
6		
7		For Windows XP and later versions of NT-based operating systems, entries in the Manufacturer section can be decorated to specify operating system versions. The specified versions indicate OS versions with which the specified INF *Models* sections will be used. If no versions are specified, Setup uses the specified *Models* section for all versions of all operating systems.
8		
9		
10		
11		
12		INF's SourceDisksNames and SourceDisksFiles sections specify organization information.
13		
		<u>XP DDK – Source Media for INFs</u>
14		The methods you should use to specify source media for device files depend on whether your INFs ship separately from the operating system or are included with the operating system.
15		
16		
		INFs for drivers that are delivered separately from the operating system specify where the files are located using SourceDisksNames and SourceDisksFiles sections.
17		
18		
19		If the files to support the device are included with the operating system, the INF must specify a LayoutFile entry in the Version section of the file. Such an entry specifies where the files reside on the operating system media. An INF that specifies a LayoutFile entry must not include SourceDisksNames and SourceDisksFiles sections.
20		
21		
22		
23		
24		<u>XP DDK – INF SourceDisksNames Section</u>
		A SourceDisksNames section identifies the distribution disks or CD-ROM discs that contain the source files to be transferred to the target machine during installation. Relevant values of an entry in the INF include:
25		
26		
27		
28		*diskid* -- Specifies a source disk.
		disk-description – Describes the contents

1		and/or purpose of the disk identified by *diskid*.
2		*tag-or-cab-file* -- This optional value specifies the name of a tag file or cabinet file supplied on the distribution disk, either in the installation root or in the subdirectory specified by *path*, if any.
5		*path* -- This optional value specifies the path to the directory on the distribution disk containing source files. The *path* is relative to the installation root and is expressed as *dirname1\dirname2*... and so forth.
8		*flags* -- For Windows XP and later, setting this to 0x10 forces Setup to use *cab-or-tag-file* as a cabinet file name, and to use *tag-file* as a tag file name. Otherwise, *flags* is for internal use only.
		tag-file -- For Windows XP and later, if *flags* is set to 0x10, this optional value specifies the name of a tag file supplied on the distribution medium, either in the installation root or in the subdirectory specified by *path*. The value should specify the file name and extension without path information.
		XP DDK -- INF SourceDisksFiles Section
		A SourceDisksFiles section names the source files used during installation, identifies the source disks (or CD-ROM discs) that contain those files, and provides the path to the subdirectories, if any, on the distribution disks containing individual files. Relevant values in an entry in the INF would include:
		filename -- Specifies the name of the file on the source disk.
		diskid -- Specifies the integer identifying the source disk that contains the file. This value and the initial *path* to the *subdir*(ectory), if any, containing the named file must be defined in a SourceDisksNames section of the same INF.
		subdir -- This optional value specifies the subdirectory (relative to the SourceDisksNames *path* specification, if any) on the source disk where the named file resides.
26	information relating to metadata, said metadata including:	
27	metadata rules used at least in part to govern at least one aspect of use and/or display of content stored within a rights management data structure.	The driver manufacture can specify rules in the INF that govern the installation and/or use of the driver. For example, security entries specify an access control list for the

driver. Driver developers can specify rules in an INF file that determines behavior of the driver package based on the user's operating system version, including product type and suite. Also, rules related to logging can be specified as mentioned in next claim element.

For Example – Access Control List Rules

XP DDK – Tightening File-Open Security in a Device INF File
For Microsoft Windows 2000 and later, Microsoft tightened file-open security in the class installer INFs for certain device classes, including CDROM, DiskDrive, FDC, FloppyDisk, HDC, and SCSIAdapter.
If you are unsure whether the class installer for your device has tightened security on file opens, you should tighten security by using the device's INF file to assign a value to the **DeviceCharacteristics** value name in the registry. Do this within an *add-registry-section*, which is specified using the INF AddReg directive.
XP-DDK – INF AddReg Directive

An INF can also contain one or more optional *add-registry-section*.security sections, each specifying a security descriptor that will be applied to all registry values described within a named *add-registry-section*.

A Security entry specifies a security descriptor for the device. The *security-descriptor-string* is a string with tokens to indicate the DACL (D:) security component. A class-installer INF can specify a security descriptor for a device class. A device INF can specify a security descriptor for an individual device, overriding the security for the class. If the class and/or device INF specifies a *security-descriptor-string*, the PnP Manager propagates the descriptor to all the device objects for a device, including the FDO, filter DOs, and the PDO.

For Example – Operating System Versioning

Operating-System Versioning for Drivers

		under Windows XP
		Setup selects the [*Models*] section to use based on the following rules:
		If the INF contains [*Models*] sections for several major or minor operating system version numbers, Setup uses the section with the highest version numbers that are not higher than the operating system version on which the installation is taking place.
		If the INF [*Models*] sections that match the operating system version also include product-type decorations, product suite decorations, or both, then Setup selects the section that most closely matches the running operating system.
	said metadata rules including at least one rule specifying that information relating to at least one use or display of said content be recorded and/or reported.	The AddService directive can set up event-logging services for drivers. **INF AddService Directive** An AddService directive is used to control how (and when) the services of particular Windows 2000 or later device's drivers are loaded, any dependencies on other underlying legacy drivers or services, and so forth. Optionally, this directive sets up event-logging services by the devices/drivers as well. Relevant sections of the directive's entry include: *event-log-install-section* -Optionally references an INF-writer-defined section in which event-logging services for this device (or devices) are set up. *EventLogType* -- Optionally specifies one of System, Security, or Application. If omitted, this defaults to System, which is almost always the appropriate value for the installation of device drivers. For example, an INF would specify Security only if the to-be-installed driver provides its own security support. *EventName* -- Optionally specifies a name to use for the event log. If omitted, this defaults to the given *ServiceName*.
	35. A descriptive data structure as in claim 34, in which:	
	said first rights management data structure comprises a first secure container.	The driver package is secured through a catalog file that is signed by Microsoft's Windows Hardware Quality Lab and

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	contains the hash of each file of the driver's package. The INF identifies the catalog file used to sign the driver package.
36. A descriptive data structure as in claim 35, in which:	
said first secure container comprises:	The first secure container is the driver package secured by a catalog file.
said content; and	The content is the driver and related files within the signed driver package.
rules at least in part governing at least one use of said content.	The rules are within the INF, which is part of the signed driver package.
37. A descriptive data structure as in claim 36, wherein the descriptive data structure is stored in said first secure container.	The INF is stored within the signed driver package.
44. A descriptive data structure as in claim 34, further including:	
a representation of the format of data contained in a second rights management data structure,	The manufacture and models sections in the INF Version section are provided for the possibility of a single INF representing the format for multiple drivers. Operating system version "decorating" relating the architecture, major and minor operating systems versions, product and suit information all relate to the target environment and is used to identify the files necessary for the target environment. An INF file, such as in the case of operating system targeting, can be used for more than one driver package since it can contain more than one catalog file. Further an INF can address the drives necessary for a multi-functional device.
said second rights management data structure differing in at least one respect from said first rights management data structure.	The files of the second data structure would vary from the files on the first data structure.
45. A descriptive data structure as in claim 44, in which:	
said information regarding elements contained within said first rights management data structure includes information relating to the location of at least one such element.	INF specify where the driver files are located using the SourceDiskNames and SourceDiskFiles sections.
46. A descriptive data structure as in claim 44, further including:	
a first target data block including information relating to a first target	Operating system version "decorating" relating the architecture, major and minor

environment in which the descriptive data structure may be used.	operating systems versions, product and suit information all relate to the first target environment.

47. A descriptive data structure as in claim 46, further including:	
a second target data block including information relating to a second target environment in which the descriptive data structure may be used,	Operating system version decorating will cover multiple operating systems.
said second target environment differing in at least one respect from said first target environment.	This is the reason for version decorating.

48. A descriptive data structure as in claim 46, further including:	
a source message field containing information at least in part identifying the source for the descriptive data structure.	The provider entry in the version section of the INF identifies the provider of the INF file. Also, the INF contains a manufacture section.

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INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,920,861

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
58.	Product Infringing: Microsoft Reader SDK and Microsoft Digital Asset Server.
A method of creating a first secure container, said method including the following steps:	Method is carried out by Microsoft's Digital Asset Server and Microsoft's .Litgen tools
(a) accessing a descriptive data structure, said descriptive data structure including or addressing	.opf file describing the file structure of a protected e-book including metadata, manifest, and "spine" information
(1) organization information at least in part describing a required or desired organization of a content section of said first secure container, and	Organization information regarding organization of the ebook and the inscription as specified in the manifest and spine information in the .opf file
(2) metadata information at least in part specifying at least one step required or desired in creation of said first secure container;	Metadata constitutes rules specifying the degree of security to use and/or XrML rules
(b) using said descriptive data structure to organize said first secure container contents	e-book packaging carried out by Microsoft Litgen tool
(c) using said metadata information to at least in part determine specific information required to be included in said first secure container contents; and	Step performed by Digital Asset Server; example of specific information is owner/purchaser information required in the inscription process
(d) generating or identifying at least one rule designed to control at least one aspect of access to or use of at least a portion of said first secure container contents.	Analyzing the metadata and finally packaging the e-book using a particular security level specified through the metadata
71. A method as in claim 58, in which:	
(a) said specific information required to be included includes information at least in part identifying at least one owner or creator of at least a portion of said first secure container contents.	Owner purchaser information required in the inscription process; XrML rule requiring display of copyright notice

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58.	Product Infringing: All products that host the Microsoft Common Language Runtime or Compact Common Language Runtime.
A method of creating a first secure container, said method including the following steps;	Method is practiced by a user using the Common Language Runtime (CLR) or Compact Common Language Runtime (CCLR) to create a dynamic shared assembly or .NET Framework SDK to create a shared assembly
(a) accessing a descriptive data structure, said descriptive data structure including or addressing	.NET framework Assembly class and/or AssemblyBuilder class and/or AssemblyInfo file
(1) organization information at least in part describing a required or desired organization of a content section of said first secure container, and	This information is specified in the classes named above and in the AssemblyInfo file.
(2) metadata information at least in part specifying at least one step required or desired in creation of said first secure container;	This information is addressed in the classes and the AssemblyInfo file, e.g., for a shared assembly metadata will be specified that the assembly is to be signed using specified key
(b) using said descriptive data structure to organize said first secure container contents;	This step is carried out by applications and tools using the classes and assembly info file, including CLR (or CCLR) and .NET Framework SDK
(c) using said metadata information to at least in part determine specific information required to be included in said first secure container contents; and	This step is carried out by applications and tools using the assembly info file and classes that specify the metadata required in the target assembly
(d) generating or identifying at least one rule designed to control at least one aspect of access to or use of at least a portion of said first secure container contents.	User may specify rules, as specified in the .NET Framework SDK, to be placed in the assembly manifest including such rules requiring that all code be managed (CLR or CCLR compliant), "Code Access Security" permissions be supplied for use of code supplied in the assembly, etc
64. A method as in claim 58, in which:	
(a) said creation of said first secure container occurs at a first data processing arrangement located at a first site;	Can be a server, PC or workstation running CLR (or CCLR) to create a dynamic shared assembly or .NET Framework SDK to create a shared assembly)
(b) said first data processing arrangement including a communications port; and	Included in virtually any computer
(c) said method further includes:	
(1) prior to said step of accessing said descriptive data structure, said	Download of the assemblyinfo file and/or a file containing a class calling the

first data processing arrangement receiving said descriptive data structure from a second data processing arrangement located at a second site.	DefineDynamicAssembly methods r download of SDK containing assemblybuilder class from a second site
(d) said receipt occurring through said first data processing arrangement communications port.	Communications port is normally used for downloading
67. A method as in claim 64, further comprising:	
at said first processing site, receiving said metadata through said communications port.	Download of the AssemblyInfo file and/or a file containing a class calling the DefineDynamicAssembly methods or download of SDK containing assemblybuilder class from a second site
68. A method as in claim 67, in which,	
(a) said metadata is received separately from said descriptive data structure.	Method practiced when metadata names are addressed by the assembly class and a template for the AssemblyInfo file, and values corresponding to those names are received through a user interface such as provided by Microsoft Visual Studio or are provided from a separate file
71. A method as in claim 58, in which:	
(a) said specific information required to be included includes information at least in part identifying at least one owner or creator of at least a portion of said first secure container contents.	The Assembly class definition includes attributes for company name and trademark information, and these may be required attributes specified in the AssemblyInfo file
72. A method as in claim 58, in which:	
(a) said specific information required to be included includes a copyright notice.	The Assembly class definition includes an attribute for copyright field that may be required by the AssemblyInfo file

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
58.	Product Infringing: Microsoft .NET Framework, Visual Studio .NET, and tools that include the Assembly Generator tool AL.exe.
A method of creating a first secure container, said method including the following steps;	The Assembly Generation tool generates a portable execution file with an assembly manifest from one or more files that are either Microsoft intermediate language (MSIL) modules or resource files. When using the tool's signing option, the assembly becomes a *secure container.*
(a) accessing a descriptive data structure, said descriptive data structure including or addressing	The *descriptive data structure* is the text file used as input by the Assembly Generation tool.
(1) organization information at least in part describing a required or desired organization of a content section of said first secure container, and	The DDS specifies the *link* and or *embed* directives to indicate which source files should be included in the assembly, how the included resource will be tagged, and if the resource will be private. Private resources are not visible to other assemblies. These tags are used to organize the assembly into *named* sections. Private attributes are used to organize the assembly into both public and *private* sections. (Public sections are the default.)
(2) metadata information at least in part specifying at least one step required or desired in creation of said first secure container;	The text file can contain "options" relating to how the assembly should be built and additional information that should be included. *Main* – Specifies the method to use as an entry point when converting a module to an executable file. *Algid* – Specifies an algorithm to hash all files. *Comp* – Specifies string for the Company field. *Conf* – Specifies string for Configuration field *Copy* – Specifies string for Copyright field. *Culture* – Specifies the culture string to associate with the assembly. *Delay* – Variation of this option specifies whether the assembly will be

		fully or partially signed and whether the public key is placed in the assembly. *Description* – Specifies the description field. *Evidence* – Embeds file in the assembly with the resource name Security.Evidence. *Fileversion* – Specifies the file version of the assembly. *Flags* – Specifies flags for such things as the assembly is side-by-side compatible, assembly cannot execute with other versions if either they are executing in the same application domain, process or computer. *Keyf* – Specifies a file that contains a key or key pair to sign an assembly. *Keyn* – Specifies the container that holds a key pair. *Product* – Specifies string for Product field. *Productv* – Specifies string for Product Version. *Template* – Specifies the assembly fro which to inherit all assembly metadata. *Title* – Specifies string for Title field. *Trade* – Specifics string for Trademark field. *V* – Specifies version information.
(b)	using said descriptive data structure to organize said first secure container contents	The following directives are used to specify which files are to be compiled into the assembly, how they will be tagged, and whether or not they will be visible to other assemblies, AKA private: *Embed[*name, *private]* – copies the content of the file into the assembly and applies an optional name tag, and optional private attribute. *Link[*name, *private]* – file becomes part of the assembly via a link and applies an optional name tag, and optional private attribute.
(c)	using said metadata information to at least in part determine specific information required to be included in said first secure container contents; and	The following are some of the "options" address what information should be included in the secure container: *Main* – Specifies the method to use as an entry point when converting a module to an executable file. *Comp* – Specifies string for the Company field. *Conf* – Specifies string for Configuration field *Copy* – Specifies string for Copyright

		field. *Culture* – Specifies the culture string to associate with the assembly. *Description* – Specifies the description field. *Evidence* – Embeds file in the assembly with the resource name Security.Evidence. *Fileversion* – Specifies the file version of the assembly. *Flags* – Specifies flags for such things as the assembly is side-by-side compatible, assembly cannot execute with other versions if either they are executing in the same application domain, process or computer. *Keyf* – Specifies a file that contains a key or key pair to sign an assembly. *Keyn* – Specifies the container that holds a key pair. *Product* – Specifies string for Product field. *Productv* – Specifies string for Product Version. *Template* – Specifies the assembly fro which to inherit all assembly metadata. *Title* – Specifies string for Title field. *Trade* – Specifics string for Trademark field. *V* – Specifies version information.
(d)	generating or identifying at least one rule designed to control at least one aspect of access to or use of at least a portion of said first secure container contents.	User may specify rules, as specified in the .NET Framework SDK, to be placed in the assembly manifest including such rules requiring that all code be managed (CLR compliant), "Code Access Security" permissions be supplied for use of code supplied in the assembly, etc.
71. A method as in claim 58, in which:		
(a)	said specific information required to be included includes information at least in part identifying at least one owner or creator of at least a portion of said first secure container contents.	The following "options" specifies owner and creator information: *Comp* – Specifies string for the Company field. *Copy* – Specifies string for Copyright field. *Trade* – Specifics string for Trademark field.
72. A method as in claim 58, in which:		
(a)	said specific information required to be included includes a copyright notice.	The copy "option" specifies the string for the for the Copyright field.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,982,891

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
1.	Products infringing: All products that include the Common Language Runtime or Compact Common Language Runtime or Common Language Infrastructure.
A method for using at least one resource processed in a secure operating environment at a first appliance, said method comprising:	Resource may constitute a Microsoft Windows process or hardware element; secure operating environment is Microsoft Common Language Runtime ("CLR") environment, Common Language Infrastructure ("CLI") or Compact CLR ("CCLR"); first appliance is computer running CLR, CLI or Compact CLR. Two infringing scenarios are set forth herein: (1) For CLR, an administrator, using the .NET framework caspol.exe tool remotely configures security policy in a .NET configuration file for a machine, enterprise, user, or application and that security policy interacts with rules or evidence declared in a shared assembly provided by another entity ("1st scenario"); and (2) for CLR, CLI and CCLR two assemblies are delivered to an appliance; the first assembly has a rule that demands permissions from a caller in the second assembly, and the second assembly includes a control that asserts such permissions or provides evidence that convinces the runtime that it has such permissions. ("2nd scenario"). In each scenario Microsoft .NET "Code Access Security" framework or "Role Based Security" framework is used.
(a) securely receiving a first entity's control at said first appliance, said first entity being located remotely from said operating environment and said first appliance;	1st scenario: first entity is the administrator, and the policy that constitutes this entity's control is securely received at the first appliance through a session established between the administrator's computer and the first appliance, requiring security credentials such as the administrator's login and password or other secure session means. 2nd scenario: first entity is creator or distributor of the first assembly, assembly manifest includes a control demanding or refusing or otherwise asserting a security action on permissions from a caller; first assembly is integrity-checked.
(b) securely receiving a second entity's control at said first appliance, said second entity being located remotely from said operating environment and said first appliance, said second entity being different from said first	Second entity's control is contained in shared assembly manifest (and therefore integrity protected) that provides evidence for obtaining permissions, or asserts permissions; assembly creator/distributor is located remotely and is

entity; and	not the administrator (1st scenario) or creator/distributor of the first container (2nd scenario);
(c) securely processing a data item at said first appliance, using at least one resource, including securely applying, at said first appliance through use of said at least one resource said first entity's control and said second entity's control to govern use of said data item.	Secure processing is carried out by CLR, CLI or CCLR, Data item constitutes an executable code element, an interface controlled by such an executable, a data collection or stream (such as media file or stream or text file) or an environment variable. CLR, CLI or CCLR securely processes the rules, which will in both scenarios govern access to methods and data from the first assembly. The resource named in the claim is, e.g., a Windows process that is established by the runtime or hardware element on the computer.
51. A method as in claim 1 wherein at least said secure processing step is performed at an end user electronic appliance.	Consumer computer or appliance running Microsoft CLR, CLI or CCLR).
58. A method as in claim 1 wherein the step of securely receiving a first entity's control comprises securely receiving said first entity's control from a remote location over a telecommunications link, and the step of securely receiving said second entity's control comprises securely receiving said second entity's control from the same or different remote location over the same or different telecommunications link.	1st scenario 1: link is LAN or WAN; 2nd scenario: link is any telecommunications link, including the internet.
65. A method as in claim 1 wherein the processing step includes processing said first and second controls within the same secure processing environment.	Secure processing environment is CLR, CLI or CCLR running on user's computer or appliance.
71. A method as in claim 1 further including the step of securely combining said first entity's control and said second entity's control to provide a combined control arrangement.	In scenario 2, arrangement consists of the stack frame, and the corresponding array of permission grants for assemblies on the stack, and the permission demanded by the first assembly. Secure combining performed by the CLR, CLI or CCLR.
76. A method as in claim 1 wherein said two securely receiving steps are independently performed at different times.	Steps are performed at different times in both scenarios.
84. A method as in claim 1 wherein at least one of the first entity's control and the second entity's control comprises at least one executable component and at least one data component.	In both scenarios the second entity supplies an assembly with a demand procedure executed by the CLR, CLI or CCLR. The data component is a specific attribute value referenced by the assembly.
89. A method as in claim 1 wherein said first appliance includes a protected processing environment, and wherein:	Microsoft Common Language Runtime (CLR), Common Language Infrastructure (CLI), or Compact Common Language Runtime (CCLR) environment.
(a) said method further comprises a step of receiving, at said first appliance, said data item	Typically occurs in both scenarios.

separately and at a different time from said receiving said first entity's control ; and	
(b) said securely processing step is performed at least in part in said protected processing environment	Protected processing environment is the CLR, CLI or CCLR.

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22.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A method of securely controlling use by a third party of at least one protected operation with respect to a data item comprising:	A user (third party) accesses an IRM-protected data item governed by IRM controls under tw or more RMS servers. For example, the data item may be a IRM-protected document. The IRM controls may be associated with the data item directly or via a IRM-protected container holding the IRM-protected data item, such as an IRM-protected email with the IRM-protected document attached.
(a) supplying at least a first control from a first party to said third party;	The user acquires a first use license from a first RMS server (first party) enabling access to, the IRM-protected data item under the IRM rules associated with the first RMS server. For example: (1) the first use license from the first RMS server permits the user to access a IRM-protected document contained within or attached to an IRM-protected email; or (2) the first use license from the first RMS server applies a first set of IRM rules to an IRM-protected document.
(b) supplying, to said third party, at least a second control from a second party different from said first party;	The user acquires a second use license from a second RMS server (second party) enabling access to the IRM-protected data item under the IRM rules associated with the second RMS server. For example: (1) in addition to the user being given access to an IRM-protected email based on a first use license, a second RMS server provides a second use license enabling access to the IRM-protected document attached thereto; or (2) the second use license from the second RMS server applies a second set of IRM rules to the IRM-protected document.
(c) securely combining at said third party's location, said first and second controls to form a control arrangement;	The first and second use licenses are combined to form a control arrangement that governs access to the IRM-protected data item.
(d) securely requiring use of said control arrangement in order to perform at least one protected operation using said data item; and	The combined first and second use licenses govern access to the IRM-protected data item.
(e) securely performing said at least one protected operation on behalf of said third party with respect to said data item by at least in part employing said control arrangement	The user performs a protected operation (e.g., read, print, edit) on the IRM-protected data item. The combined first and second use licenses are employed to permit the protected operation.

23. A method as in claim 22 wherein said data item is protected.	The data item is encrypted and protected by IRM.
39. A method as in claim 22 further including securely and persistently associating at least one of: (a) said first control, (b) said second control, and (c) said control arrangement, with said data item.	The first and/or second use license are securely and persistently associated with the IRM-protected data item.
53. A method as in claim 22 wherein at least two of the recited steps are performed at an end user electronic appliance.	Steps performed at a user's computer or appliance.
60. A method as in claim 22 wherein step (a) comprises supplying said first control from at least one remote location over a telecommunications link, and step (b) comprises supplying said second control from the same or different remote location over the same or different telecommunications link	The first and second use licenses are received over a telecommunications link such as a networking or modem/serial interface.
67. A method as in claim 22 wherein at least step (c) is performed within the same secure processing environment at said third party's location.	Steps are performed at user's computer or appliance.
91. A method as in claim 22 wherein:	
(a) said method further comprises supplying said data item to said third party separately and at a different time from supplying of said first control to said third party; and	The first use license (first control) is received at the time that the user accesses the data item, which occurs separately and at a different time from receipt of the IRM-protected data item itself.
(b) said securely performing step comprises performing said protected operation at least in part in a protected processing environment.	The protected operations require decryption of the protected content, which is done inside the RM lockbox. The RM lockbox is protected by mechanisms such as obfuscation, anti-debugging, and tamper resistance.

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26.	Products infringing: Visual Studio.NET, .NET Framework SDK, and all products that include the Common Language Runtime or Compact Common Language Runtime or Common Language Infrastructure.
A secure method for combining data items into a composite data item comprising:	
(a) securely providing, from a first location to a second location, a first data item having at least a first control associated therewith;	A first signed and licensed .NET component, .NET assembly, managed control and/or Web control (component) is the first data item. The first .NET component developer (first location) provides the application assembly developer (second location) the first component. The first control is the set of declarative statements comprising the LicenseProviderAttribute (alternately referred to as license controls).
(b) securely providing, from a third location to said second location, a second data item having at least a second control associated therewith;	A second signed and licensed component is the second data item. The second component developer (third location) provides the application assembly developer (second location) the second component. The second control is the set of declarative statements comprising the LicenseProviderAttribute.
(c) forming, at said second location, a composite of said first and second data items;	The application assembly developer will include at least the two components into its assembly.
(d) securely combining. at said second location, said first and second controls to form a control arrangement; and	At the second location, the application assembly developer uses the .NET runtime that includes the LicenseManager. Whenever a component is instantiated (here, an instance of the first licensed component), the license manager accesses the proper validation mechanism for the component. The license controls (first control) for the runtime license (derived from the design-time license) are bound into the header of the .NET application assembly, along with the second control for the second component. Visual Studio.NET securely handles the creation of runtime license controls. Runtime licenses are embedded into (and bound to) the executing application assembly. The license control attribute

	included in the first component is customized in the second location to express and require the runtime license. In a more advanced scenario, the License Complier tool can be used to create a ".licenses file" containing licenses for multiple components, including runtime licenses for components and classes created by the license provider. This .licenses file is embedded into the assembly. The third control set comprises the runtime license controls for the first and second components (that had been bound to the assembly), the declarative controls provided by the application assembly developer, and any runtime licenses for other components included by the developer in application assembly. The controls are typically integrated into the header of the .NET application assembly calling the first licensed component.
(e) performing at least one operation on said composite of said first and second data items based at least in part on said control arrangement.	The proper execution of the application will require that the assembly have run time licenses for the two components.
27. A method as in claim 26 wherein said combining step includes preserving each of said first and second controls in said composite set.	The set of declarative statements comprising the LicenseProviderAttribute of both the first and second components are included in the application assembly.
28. A method as in claim 26 wherein said performing step comprises governing the operation on said composite of said first and second data items in accordance with said first control and said second control.	The application will require the first and second controls to operate properly when it calls the first and second data items, respectively.
29. A method as in claim 26 wherein said providing step includes ensuring the integrity of said association between said first controls and said first data item is maintained during at least one of transmission, storage and processing of said first data item.	Signing the component that has embedded within it the license control ensures the integrity of the association of the control and data item.
31. A method as in claim 26 wherein said providing step comprises codelivering said first data item and said first control.	The component includes the license control and therefore they are codelivered.
40. A method as in claim 26 further including the step of securely ensuring that at least one of (a) said first control, (b) said second control, and (c) said control arrangement, is persistently associated with	Each component includes the license control. Signing the component that has embedded within it the license control ensures the persistence of the association of the control and data item.

at least one of said first and second data items.	
54. A method as in claim 26 wherein at least one of steps (c), (d) and (e) is performed at an end user electronic appliance.	At least step (e) is typically performed at an end-user electronic appliance.
61. A method as in claim 26 wherein step (a) comprises providing said first data item from at least one remote location over a telecommunications link, and step (b) comprises providing said second data item from the same or different remote location over the same or different telecommunications link.	Microsoft maintains Web sites where a developer can get components over the Web. These sites include references whereby a developer may obtain components through their Web connection. One such site is Internet Explorer Web Control Gallery at ie.components.microsoft.com/webcontrols
68. A method as in claim 26 wherein step (d) is performed within the same secure processing environment at said second location.	Typically, step (d) will be performed within the same secure processing environment.
79. A method as in claim 26 wherein steps (a) and (b) are performed at different times.	The application assembly developer will typically acquire components at different times.
86. A method as in claim 26 wherein at least one of the first and second controls comprises at least one executable component and at least one data component.	The component must include an executable and can include a data items as a EULA, readme file or help file.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
35	Infringing products include: Windows Media Player, Individualized DRM Clients and the Secure Audio Path (SAP) technology.
A method for using at least one resource processed by a secure operating environment, said method comprising:	
securely receiving a first load module provided by a first entity external to said operating environment	The Individualized DRM Client (first load module) is a signed security upgrade DLL. It is also bound to the hardware ID of the machine on which it runs. It is therefore securely delivered and integrity protected.
securely receiving a second load module provided by a second entity external to said operating environment, said second entity being different from said first entity; and	A SAP certified driver is also signed and carries with it a certificate that indicates its compliance with SAP criteria. If it is delivered to a PC it is secure in the sense that it is integrity protected. This driver would not come from the same entity as the Individualization DLL.
securely processing, using at least one resource, a data item associated with said first and second load modules, including securely applying said first and second load modules to manage use of said data item.	If a WM audio file targeted to the Individualized DRM client carries with it a requirement that SAP be supported to render the WMF contents, the content is processed for playing through a soundcard using the WMP and by applying the DRM client - which decrypts the content and negotiates with the DRM kernel processing of the content through a Secure Audio Path that includes the SAP-certified audio driver.
56. A method as in claim 35 wherein at least two of the recited steps are performed at an end user electronic appliance.	All steps occur at the user's PC that supports the WMP and DRM client and SAP.
63. A method as in claim 35 wherein said first load module receiving step comprises securely receiving said first load module from at least one remote location over at least one telecommunications link, and said second load module receiving step comprises securely receiving said second load module from the same or different remote location over the same or different telecommunications link.	The Driver and DRM client are received from distinct locations and may be delivered securely over the Internet. They are delivered securely in that each is integrity protected.
70. A method as in claim 35 wherein said securely processing step comprises securely executing said first and second	Both load modules are executed on the PC within the WMP/DRM Client/SAP environment.

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
load modules within the same secure processing environment.	
74. A method as in claim 35 further including securely combining said first and second load modules to provide a combined executable.	Since both the DRM client and the driver are DLLs in the same audio rendering chain, they exist as an execution environment.
81. A method as in claim 35 wherein said securely receiving steps are performed independently at different times.	The driver and Individualization DLL need not be received at the same time.
94. A method as in claim 35 wherein said secure operating environment includes a protected processing environment, and wherein: said method further comprises receiving a data item within said secure operating environment; said first load module receiving step is performed separately and at a time different from receiving said data item; and said securely processing step is performed at least in part in said protected processing environment.	The Windows Media Player together with the Individualized DRM Client and Secure Audio Path comprise a protected environment for processing protected media. The protected Windows Media Files are received after the load modules have been received and installed (licenses cannot be acquired until load modules are in place). The processing of the Windows Media File occurs in the protected environment.

Examples of SAP-certified drivers include - as indicated at http://www.microsoft.com/Windows/windowsmedia/WM7/DRM/FAQ.asp#Security7

- All VIA controllers with AC-97 codecs

- All ALI controllers with AC-97 codec

- Intel ICH controllers with AC-97 codecs

- Creative Labs SoundBlaster16/AWE32/AWE64/Vibra

- Yamaha OPL3

- Yamaha DS-1

- Cirrus Logic (Crystal) CS4280

- Cirrus Logic (Crystal) CS4614 / CS4624

- ESS Maestro 2E

- USB Audio

- Cirrus Logic (Crystal) CS4281

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1

- All SiS c ntr llers with AC-97 codecs

2

- Ensoniq ES1370

3

- NeoMagic NM6

4

- Ensoniq ES1371/73 and CT5880

5

- SoundBlaster Live!

6

- Aureal 8810

7

- Aureal 8820

8

- Aureal 8830

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- Conexant Riptide

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- ESS Maestro

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- ESS ISA parts

- NeoMagic NM5

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36.	Product Infringing: Any product using Common Language Runtime (CLR), Common Language Infrastructure (CLI), or Compact Common Language Runtime (CCLR)
A secure operating environment system for managing at least one resource comprising:	Microsoft CLR, CLI or CCLR (operating environment system), managing any of the resources on a typical computer, including memory, files system, communications ports, storage devices, and higher level resources that may use any of these or combinations of them.
(a) a communications arrangement	Communications port and Microsoft Internet Protocol stack that may optionally use Secure Socket Layer protocol or IPSEC packet security protocol, supplied with Microsoft Windows.
(1) that securely receives a first control of a first entity external to said operating environment, and	Rule or evidence contained in the manifest of a shared assembly, distributed by a first entity that can be used by the CLR, CLI or CCLR to determine permissions that may be needed to cause operations on a data item or resource controlled by another entity; shared assembly is tamper-protected and may be received using secure SSL or IPSEC protocol.
(2) securely receives a second control of a second entity external to said operating environment, said second entity being different from said first entity; and	Rule specified in the manifest of a second shared (Tamper protected) assembly, that demands permissions of callers of its methods.
(b) a protected processing environment, operatively connected to said communications arrangement, that:	CLR, CLI or CCLR, connected to (e.g.) communications port
(1) [] securely processes, using at least one resource, a data item logically associated with said first and second controls, and	CLR, CLI or CCLR uses type safety mechanisms, access controls, integrity detection, and separation of domains. Data item may be any data item that is managed by the second assembly, which may be a member of such assembly, and whose state or value may be accessible through an interface to other assemblies, and which is referenced by the first assembly.
(2) [] securely applies said first and second controls to manage said resource for controlling use of said data item.	CLR, CLI or CCLR processes the demand for permissions from the second assembly, collects the evidence or processes the rule from the first assembly, and determines whether the first assembly has the permissions to use the resource to operate on the data item controlled by the second assembly.
57. A system as in claim 36 wherein said protected processing environment is part of an	Computer or electronic appliance running CLR, CLI or CCLR

end user electronic appliance.	
64. A system as in claim 36 wherein said communications arrangement receives said first and second controls from at least one remote location over at least one telecommunications link.	Shared assemblies are designed to be received remotely, e.g., over the internet.
75. A system as in claim 36 wherein said protected processing environment combines said first and second controls to provide a combined control arrangement.	Arrangement consists of the stack frame and and the corresponding array of permission grants for assemblies on the stack, and the permission demanded by the second assembly.
82. A system as in claim 36 wherein said communications arrangement independently receives said first and second controls at different times	Assemblies, including controls, are designed for independent delivery.
88. A system as in claim 36 wherein at least one of the first control and second controls comprises at least one executable component and at least one data component.	The second entity supplies an assembly with a demand procedure (executed by the CLR, CLI or CCLR) that includes reference to a specific attribute value (the data component), and the protected processing environment executes the executable component (demand) in a manner that is at least in part responsive to the data component (execution is in response to the security action supplied in the data item).

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,982,891

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
36.	Infringing Product: My Services
A secure operating environment system for managing at least one resource comprising:	Secure operating environment is the secure server for any .NET My Services service (e.g. My Calendar, My Inbox)
a communications arrangement that securely receives	Secure server receives communications formatted using the SOAP-SEC, the security extension to SOAP that is used by My Service servers to receive controls.
a first control	The first control is a roleTemplate associated with the service. The roleTemplate identifies specific actions (e.g. read, replace) that can be performed against a certain scope (resource or set of resources).
of a first entity external to said operating environment,	The first entity is the administrator of the server database, or other entity with authority over its content that sets up the roleTemplates and scopes. That entity is independent from and located remotely from the secure server.
and securely receives a second control	A role element specified by a specific end user, which is securely received by the secure server using the SOAP-SEC protocol.
of a second entity external to said operating environment, said second entity being different from said first entity;	The end user is located remotely from the secure server.
and a protected processing environment, operatively connected to said communications arrangement, that:	The protected processing environment is the .NET security service (authorization system) operating within the server. The server uses the SOAP-SEC communication protocol to receive controls.
(a) securely processes, using at least one resource, a data item logically associated with said first and second controls, and	"Securely processes" is performing the requested operation on secure server running .NET. The system will perform the requested operation ensuring that the user has no access to information outside the

	scope computed. The resource is the server software and/or hardware used to process the two controls and user data. The first control is the roleTemplate for the service. The second control is the role element for an individual user. The data item is the end user's stored content (e.g. calendar, email inbox, etc.).
(b) securely applies said first and second controls to manage said resource for controlling use of said data item.	The secure server determines the result scope (visible node set) for the operation that is computed from the role element and the roleTemplate. That result scope is used to manage the data item.
64. A system as in claim 36 wherein said communications arrangement receives said first and second controls from at least one remote location over at least one telecommunications link.	The remote location is the site where the user's or administrator's application is running. The telecommunication link can be the Internet, intranet, VPN or other similar channels.
75. A system as in claim 36 wherein said protected processing environment combines said first and second controls to provide a combined control arrangement.	The role scope incorporating the role element and the role Template.
82. A system as in claim 36 wherein said communications arrangement independently receives said first and second controls at different times.	Administrator and user controls will ordinarily be received at different times.
95. A secure operating environment system as in claim 36 wherein said communications arrangement also receives a data item separately and at a different time from at least one of said first control and said second control.	This is the normal case for .NET My Services. The user's content is normally stored and updated independently of the setting of scope elements, role elements and roleTemplates.

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,157,721

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
	Product Infringing: Windows CE for Automotive
1. A security method comprising:	WCEfA is Microsoft Windows CE for Automotive, sometimes also known by its former name, AutoPC 2.0. With WCEfA an OEM can assign their device to a class that only accepts certain kinds of software. The device can be set to accept 1) any software with the correct processor/version 2) only certified software or 3) only software from the OEM or Microsoft. These Security (r Trust) levels also control to which kernel APIs and middleware APIs the software has access. Background: "Microsoft Software Install Manager (SIM), a component of WCEfA, allows you to control what can be installed on your device platform. You can define your platform as being open, closed or restricted to new installations, and SIM will enforce these designations." (D,pg.1) "Anything can be installed on an open platform, as long as the applications are compiled for the appropriate processor. At the other extreme, no third-party software can be installed on a closed platform. Only certified applications can be installed on a restricted platform." (D, pg.1) "By restricting installations to compliant applicati ns, the risk of installing and using incompatible or harmful software is greatly reduced, while still keeping the device open for robust, quality applications that enhance the user experience." (F, pg.1) WCEfA also has a Security Layer whose purpose is to "Create an abstraction layer of security surrounding ISV applications to limit and/or deny access to key Windows CE kernel API calls and WCEfA middleware APIs."), pg. 1)
(a) digitally signing a first load module with a first digital signature designating the first load module for use by a first device class;	A first load module is a WCEfA software comp nent in a signed .PE file. The first device class is a device that only allows software designated as "restricted" (or higher) to be installed. "Restricted" software is software that has been certified. With restricted software, the device also implements a Security Layer functionality that limits the kernel and WCEfA API calls that the s ftware can make.

1	"SIM Level: 1 = Restricted
2	Description: Only properly certified CEI (WCEfA device installation) files can be installed on the devic .
3	Remote executi n is restricted to executables with master key.
4	Key: Logo certified CEI file required. CEI files or EXEs with master keys permitted." (F, pg.1)
5	"The kernel loader calls it each time a module is loaded
6	by Windows CE. It returns one of the following values that determine the module's access to kernel resources:
7	Value
8	Meaning
9	OEM_CERTIFY_TRUST (2)
10	The module is trusted by the OEM to perform any operation.
11	OEM_CERTIFY_RUN (1)
12	The module is trusted by the OEM to run but is restricted from making certain function calls.
13	OEM_CERTIFY_FALSE (0) The module is not allowed to run.
14	" (H, pg. 1)
15	*Digitally signing*: "Before the kernel loads a file, it uses
16	the OEMCertifyModule function to verify that the file contains the proper signature." (N, pg.1)
17	"Signfile.exe: This tool signs an executable with a
18	supplied private key. You can use the following command parameters with this tool....-s AttribString,
19	specifies an optional attribute string to be included in the signature. For example, you could add a string to
20	indicate the trust level of the application." (O. Pg. 1)
21	In the MSDN article <u>Verifying the Signature</u>, the sample code segment states
22	"//the file has a valid signature // we expect the trust level to be returned as signed
23	data... //case 'R' : dwTrustLevel = OEM_CERTIFY_RUN" (N,
24	pg.2)
25	"The WCEfA Security Layer isolates installed
26	applications from making unrestricted kernel and WCEfA API calls. This allows the OEM to assign one of
27	three levels of security to applications and drivers installed in RAM when they are loaded into the system.
28	The three levels are Trusted...,Restricted..., and Blocked...On the systems level, the WCEfA Security

		layer fits between ISV applications and isolates these software modules from having free access to all WinCE kernel calls and WCEfA middleware APIs." (I, pg. 1) The developer submits their application for certificati n. If it passes, then the .cei file (a form of cab file) receives a certification key from the certifier. The signed PE is within this .cei file.

	(b) digitally signing a second load module with a second digital signature different from the first digital signature, the second digital signature designating the second load module for use by a second device class having at least one of tamper resistance and security level different from the at least one of tamper resistance and security level of the first device class;	A *second load module* is a WCEfA software component is a signed PE file. The *second device class* with a different tamper resistance or security level is a device that is "Closed", that is, it will not allow third party to software to be installed. A closed device only allows trusted software to run. The Security Layer setting of "Trusted" allows the Microsoft and OEM software full access to kernel and middleware APIs.
		
		In the MSDN article <u>Verifying the Signature</u>, the sample code segment states
		"//the file has a valid signature
		// we expect the trust level to be returned as signed data...
		//case 'T' : dwTrustLevel = OEM_CERTIFY_TRUST" (N, pg.2)
		
		"Signfile.exe: This tool signs an executable with a supplied private key. You can use the following command parameters with this tool....-s AttribString, specifies an optional attribute string to be included in the signature. For example, you could add a string to indicate the trust level of the application. (O. Pg. 1)
		
		"SIM Level: 2 = Closed
		Description: Platform is limited to software supplied directly by OEM or Microsoft. Third-party applications cannot be installed. ...
		Key: Master key required for any install or remote execution." (F, pg.1)
		
		Related to the Security Layer, the Trusted level "is most likely reserved for MS and OEM applications and drivers." (I, pg. 1)
		
		Whereas the .cei files for certified software have a certification key (sometimes call MS Logo key), the .cei files from Microsoft or the OEM have a master key attached. ""Master key required for any install or remote execution." (F, p.g1)

	(c) distributing the first load module for use by at least one device in the first device class; and	*First load module* is the certified software from a third party that will be run as part of the "Restricted" *first device class.* "Once your application is complete, send the .cei file to

		the organization that is performing validation or certification for the OEM. They would validate it, then either reject or return a .cei that has been stamped with a certification key. You would then reproduce this .cei file on CD-ROM or a compact flash card and distribute." (D, p.g 5)
		
		"APCLoad compares the device SIM level against the .cei file certification key, and either allows the installation to proceed or prohibits it based on the outcome of this comparison." (D, pg. 2)
		
		"Security;. To achieve a high level of reliability, WCEfA is carefully designed to:
		  - Control the installation of certified and tested software and drivers.
		  - Limit the access of system services by installed module.
		  - Monitor the proper execution of software..." (G, pg. 1)

	(d) distributing the second load module for use by at least one device in the second device class.	The *second load module* is the certified software fr m the OEM or Microsoft that will be run as part of the "Closed" *second device class.* "You may need to change ROM components after y ur device ships, either to fix a problem, or to provide enhanced functionality. For this purpose, the OEM is given a CEIBuild that adds a master key to a .cei file. CEI files stamped with this master key can be installed on an open, closed or a restricted platform." (D, pg. 3) "Trusted: The application is registered as a completely trusted module and allowed full access to the kernel APIs and WCEfA APIs. This mode is mostly likely reserved for MS and OEM applications and drivers. Note that applications and drivers included in ROM are automatically given trusted status." (I, pg.1)

References:
[D] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/dnceauto/html/WinCAuto_SIM.asp
[F] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/apcguide/htm/ceibuildrev_8.asp
[G] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/apcguide/htm/securityrev.asp
[H] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/apcguide/htm/securityrev_7.asp
[I] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/apcguide/htm/reliabilityrev_3.asp
[N] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/wcedsn40/htm/cgconVerifyingSignature.asp
[O] http://msdn.microsoft.com/library/default.asp?url=/library/en-us/wceoem/htm/os_secur_6.asp

5.	Product infringing: Windows Hardware Quality Lab certification services, and operating system products that support driver signature technology.
A software verifying method comprising:	Microsoft encourages manufacturers to have their device drivers tested and signed. For example, only signed drivers will ship "in-the-box." Also, Microsoft's driver ranking prefers signed drivers to unsigned drivers. Microsoft Web Page -- Can't Find a Test Category for Your Driver? WHQL's long-term objective is to be able to digitally sign all drivers. Although we do not currently have test programs for certain driver types, such as specialized device drivers and software filter drivers, WHQL is investigating a long term solution to expand the categories of drivers tested under Windows 2000 and ultimately all Windows operating systems. We are already formulating a test program for anti-virus file system filters, and plan to address other file system filter drivers as soon as the initial program is in place.
(a) testing a load module	The driver will be tested for each version of the operating system it supports and against the device class specification that apply to the device's class. The driver package is a load module. A driver package contains one or more of the following files: A device setup information file (INF file) A driver catalog (.cat) file One or more optional co-installers Microsoft operates the Window Hardware Quality Lab, which tests drivers submitted by driver manufactures. The manufacturer can test their own driver using the Microsoft testing kit and submit the test results to WHQL when requesting a signature. Additionally, Microsoft or a testing facility working with Microsoft can perform the testing.
having at least one specification associated	The manufacturer-written INF file, which

therewith,	is part of the driver package, is a specification. Microsoft Windows drivers must have an INF file in order to be installed.
the specification describing one or more functions performed by the load module;	The INF Version section specifies its device class. One use of the device class is to identify the specific Windows compatibility specification that relate to the device class. These specifications will vary by device class in part because the function of each device can vary among class. The INF incorporates by reference the Microsoft supplied device class-specific specification by identifying its class in the INF.
	The INF can include operating system "decorating" to specify the operating system architecture, major and minor version, product and suite the driver is intended for and can further use this decorating to specify what operating systems for which it is not intended. Because the functionality of each of the operating systems may vary the driver must be tested for each applicable operating system.
	Qualification Service Policy Guide – Hardware Category Policies
	You must select the correct hardware category for your device. If you select the wrong hardware category for your device, your submission will fail. For example, if you have a storage/hard drive device, but you select storage/tape drive as your hardware category, your submission will fail.
	Windows XP HCT 10.0 Q & A – Windows XP Logos
	Q: Which "Designed for Windows XP" logos are available for my product? A: Devices and systems qualify for a "Designed for Windows" logo after passing testing with the appropriate WHQL test kit on all operating systems specified by the logo. "Designed for Windows" Logos for Device and System Programs lists which logos are available for each type of product.
(b) verifying that the load module satisfies the specification; and	The Microsoft WindowsXP Hardware Compatibility Test (HCT) kit version 10.0 includes the tests, test documentation, and

	submission processes that are required to participate in the Microsoft Windows Logo Program for Hardware for the Windows XP Professional operating system. To qualify to use the "Designed for Windows" logo for hardware, products must pass testing with the Microsoft Windows HCT kit. The HCT kits are organized by hardware type. As mentioned above, the manufacturer can test their own driver using the Microsoft testing kit and submit the test results to WHQL when requesting a signature. Additionally, Microsoft or a testing facility working with Microsoft can perform the testing.
(c) issuing at least one digital certificate attesting to the results of the verifying step.	When a driver package passes WHQL testing, WHQL generates a separate CAT file containing a hash of the driver binaries and other relevant information. WHQL then digitally signs the CAT file using Digital Signature cryptographic technology and sends it to the vendor. Driver signing does not change the driver binaries or the INF file submitted for testing. Microsoft uses digital signatures for device drivers to let users know that drivers are compatible with Microsoft Windows XP, Windows 2000, and Windows Me. A driver's digital signature indicates that the driver was tested with Windows for compatibility and has not been altered since testing.

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
14.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A first protected processing environment comprising:	A personal computer running Windows XP, Windows 2000, or Windows 2003
a first tamper resistant barrier having a first security level, and	The tamper resistant barrier is the Office 2003 IRM client environment and includes the signed digital certificate identifying the user. If the certificate is tampered with, or if certain, sensitive IRM processes or modules are debugged or tampered with, the system will cease to operate. The first security level is the "Security Level" which has been selected for a particular Office Application, e.g., Word.
at least one arrangement within the first tamper resistant barrier that prevents the first protected processing environment from executing the same load module accessed by a second protected processing environment having a second tamper resistant barrier with a second security level different from the first security level.	The arrangement that prevents a load module from running in one PPE and not in another is the type and characteristics of a particular Load Module (VBA program within a document or add-in); i.e., signed, script author, code capabilities, etc., and the "Security Level" settings.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
18.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A method for protecting a first computing arrangement surrounded by a first tamper resistant barrier having a first security level, the method including:	The first computing arrangement with a tamper resistant barrier is the Office 2003 IRM client environment and includes the signed digital certificate identifying the user. If the certificate is tampered with, or if certain, sensitive IRM processes or modules are debugged or tampered with, the system will cease to operate. The computing arrangement is being protected from; for example, viruses and malicious code. The first security level is the "Security Level" which has been selected for a particular Office Application, e.g., Word.
preventing the first computing arrangement from using the same software module accessible by a second computing arrangement having a second tamper resistant barrier with a second security level different from the first security level.	The arrangement that prevents a load module from running in one computing arrangement and not in another is the type and characteristics of a particular software module (VBA program within a document or add-in); i.e., signed, script author, code capabilities, etc., and the "Security Level" settings.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
34.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A protected processing environment comprising:	A personal computer running Windows XP, Windows 2000, or Windows 2003
a first tamper resistant barrier having a first security level,	The first tamper resistant barrier is the Office 2003 IRM client environment and includes the signed digital certificate identifying the user. If the certificate is tampered with, or if certain, sensitive IRM processes or modules are debugged or tampered with, the system will cease to operate. The first security level is the "Security Level" which has been selected for a particular Office Application, e.g., Word.
a first secure execution space, and	The secure execution space is process space allocated by the operating system for the Microsoft Office host application to run. This host application (e.g., Word) executes the VBA code within this process space. This execution space (application) is secure because the IRM environment takes steps to insure that it is "trusted", the application is signed, and the document which includes the VBA code is protected by IRM policy and then encrypted and signed.
at least one arrangement within the first tamper resistant barrier that prevents the first secure execution space from executing the same executable accessed by a second secure execution space having a second tamper resistant barrier with a second security level different from the first security level.	The arrangement that prevents a load module from running in one computing arrangement and not in another is the type and characteristics of a particular software module (VBA program within a document or add-in); i.e., signed, script author, code capabilities, etc., and the "Security Level" settings.

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
34.	Product Infringing: Microsoft Common Language Runtime and ASP.NET
A protected processing environment comprising:	Microsoft Common Language Runtime and ASP.NET
a first tamper resistant barrier having a first security level,	TAMPER RESISTANT BARRIER The first tamper resistant barrier is the application domain in the CLR. The runtime hashes the contents of each file loaded into the application domain and compares it with the hash value in the manifest. If two hashes don't match, the assembly fails to load.[1] Also _"Code running in one application cannot directly access code or resources from another application. The common language runtime enforces this isolation by preventing direct calls between objects in different application domains. Objects that pass between domains are either copied or accessed by proxy."_[2] SECURITY LEVELS The security levels of the application domain if different by setting the trust level assigned to an outside application using the "trust" element in the web.config for the ASP.NET application. Syntax- <trust level="Full/High/Low/None" originUrl="url"/> Example- <trust level="High" originUrl=http://www.SomeOtherCompany.com/defaul t.aspx /> [7]
a first secure execution space, and	The application domain is the execution space for a particular application.
at least one arrangement within the first tamper resistant barrier that prevents the first secure execution space from executing the same executable accessed by a second secure execution space having a second tamper resistant barrier with a second security level different from the first security level.	The second secure execution space is another application domain that has a different trust level for an outside application. If second app domain gives Full trust to the outside application; whereas the first one doesn't, the first app domain won't be able to execute the application that requires full trust permission.
	References: [1]

	www.microsoft.com/germany/ms/msdnbiblio/do tnetrk/doc/assembly.doc [2] msdn.Microsoft.com/library/en-us/cpguide/html/ cpconapplicationdomainsoverview.asp?frame=tr ue [7] LaMacchia,etc, .NET Framework Security, Addision-Wesley, 2002

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
34.	Product Infringing: Products containing Microsoft Common Language Runtime or Compact Common Language Runtime and products implementing the Common Language Infrastructure specification.
A protected processing environment comprising:	Microsoft Common Language Runtime and .NET Framework SDK:
a first tamper resistant barrier having a first security level,	**TAMPER RESISTANT BARRIER** The first tamper resistant barrier is the application domain in the CLR. The runtime hashes the contents of each file loaded into the application domain and compares it with the hash value in the manifest. If two hashes don't match, the assembly fails to load. [1] Also *"Code running in one application cannot directly access code or resources from another application. The common language runtime enforces this isolation by preventing direct calls between objects in different application domains. Objects that pass between domains are either copied or accessed by proxy."*[2] **SECURITY LEVELS** Application domains have different security levels by setting security policy of the application domain programmatically. [3] *"It has different security based on code-based security model of .NET. Administrators and hosts use code-access security to decide what code can do, based on characteristics of the code itself, regardless of what user is executing the code. The code characteristics are called evidence and can include the Web site or zone from which the code was downloaded, or the digital signature of the vendor who published the code."* *"When the security manager needs to determine the set of permissions that an assembly is granted by security policy, it starts with the enterprise policy level. Supplying the assembly evidence to this policy level will result in the set of permissions granted from that policy level. The security manager typically continues to collect the permission sets of the policy levels below the enterprise policy [including the app domain] in the same*

	fashion. These permission sets are then intersected to generate the policy system permission set for the assembly. All levels must allow a specific permission before it can make it into the granted permission set for the assembly." Example of granted permission sets from a policy – Condition: All code, Permission Set: Nothing Condition: Zone: Internet, Permission Set: Internet Condition: URL: www.monash.edu.au, Permission Set: MonashPSet Condition: Strong Name: m-Commerce, Permission Set: m- CommercePSet [4] Another difference in security levels can be whether the verification process is turned off or on, "*Managed code must be passed through a verification process before it can be run (unless the administrator has granted permission to skip the verification). The verification process determines whether the code can attempt to access invalid memory addresses or perform some other action that could cause the process in which it is running to fail to operate properly. Code that passes the verification test is said to be type-safe. The ability to verify code as type-safe enables the common language runtime to provide as great a level of isolation as the process boundary, at a much lower performance cost.*" [5]
a first secure execution space, and	The application domain is the execution space for a particular application.
at least one arrangement within the first tamper resistant barrier that prevents the first secure execution space from executing the same executable accessed by a second secure execution space having a second tamper resistant barrier with a second security level different from the first security level.	The second secure execution space is another application domain that has a different security policy than the first. If second app domain's security policy doesn't give any permission to code from internet zone, but first app domain does, then the code would run in first app domain and not in second.[6]
	References: [1] www.microsoft.com/germany/ms/msdnbibl io/dotnetrk/doc/assembly.doc [2] msdn.Microsoft.com/library/en-us/cpguide/html/ cpconapplicationdomainsoverview.asp?fra me=true

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	[3] LaMacchia,etc, .NET Framework Security, Addision-Wesley, 2002, p.113 [4] Watkins, Demien, "An Overview of Security in the .NET Framework", from MSDN Library, January 2002 [5] same as [2] [6] msdn.Microsoft.com/library/en-us/cpguide/html/ cpconapplicationdomainlevelsecuritypolicy .asp?frame=true

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
38.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A method for protecting a first computing arrangement surrounded by a first tamper resistant barrier having a first security level, the method including:	The first computing arrangement surrounded by a tamper resistant barrier is the Office 2003 IRM client environment and includes the signed digital certificate identifying the user. If the certificate is tampered with, or if certain, sensitive IRM processes or modules are debugged or tampered with, the system will cease to operate. The first security level is the "Security Level" which has been selected for a particular Office Application, e.g., Word.
preventing the first computing arrangement from using the same software module accessed by a second computing arrangement having a second tamper resistant barrier with a second security level different from the first security level.	The computing arrangement that prevents a software module from running in one computing arrangement and not in another is the type and characteristics of the particular software module (VBA program within a document or add-in); i.e., signed, script author, code capabilities, etc., and the "Security Level" settings.

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FOR U.S. PATENT NO. 6,185,683

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
2.	Product Infringing: Windows Media Rights Manager and Windows Media Player
A system including:	
(a) a first apparatus including,	Consumer's computer, as shown in WMRM SDK
(1) user controls,	Consumer's computer, as shown in WMRM SDK
(2) a communications port,	Consumer's computer, as shown in WMRM SDK
(3) a processor,	Consumer's computer, as shown in WMRM SDK
(4) a memory storing:	Consumer's computer, as shown in WMRM SDK
(i) a first secure container containing a governed item, the first secure container governed item being at least in part encrypted; the first secure container having been received from a second apparatus;	Secure container (packaged Windows Media file), received by consumer's computer from "Content provider" (WMRM SDK, Step 3), which contains encrypted governed item ("Encrypted content")
(ii) a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item, the first secure container rule [sic], the first secure container rule having been received from a third apparatus different from said second apparatus; and	Rights portion of signed license, received by consumer's computer from "License issuer" (WMRM SDK, Step 9)
(5) hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	Windows Media Player and Windows Media Rights Manager
(6) a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	1st and 2nd rules consist of any two valid rules as specified in the Window Media Rights Manager SDK; protected processing environment includes Windows Media Rights Manager and Windows processes for protecting operation of Windows Media Rights Manager. Licenses can be used to convey multiple rules.
(7) hardware or software used for	Any hardware or software employed in

1	transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	transmitting Windows Media files, including for example consumer's computer's communication port and Windows Media Player (WMRM SDK, Step 3)
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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
2.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted; the first secure container having been received from a second apparatus;	An encrypted IRM-governed email received from a remote computer. The encrypted IRM-governed email contains an encrypted IRM-governed email message.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item, the first secure container rule, the first secure container rule having been received from a third apparatus different from said second apparatus; and	The first secure container rule is received from the RMS server in the form of a use license. This use license contains rules generated by the RMS server specifically for the user (or user's group)
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM-enabled device contains hardware or software for receiving and opening secure emails. The secure email has the capacity to contain an IRM-governed email message, with a rule being associated with each email. The rules associated with the secure emails are rules that come as part of the original email as well as rules that come back from the RMS.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of	Protected information on the RM-enabled device is protected by the use of at least cryptographic techniques. The rule governing the email works together with an additional rule to determine what access to or use (if any) are allowed with respect to the IRM-governed email message. For example, the additional rule may be

access to or use of a governed item contained in a secure container; and	received together with the rule in the use license.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	The device includes hardware or software used for transmitting or receiving secure emails. For example, RM-enabled OUTLOOK is designed to transmit and receive encrypted IRM-governed emails to/from other devices.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
2.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor, and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted; the first secure container having been received from a second apparatus;	The first secure container is an encrypted IRM-protected document. This encrypted IRM-governed document is, for example, received from a remote computer, as an attachment to an IRM-governed email or downloaded from a document server or web site.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item, the first secure container rule, the first secure container rule having been received from a third apparatus different from said second apparatus; and	The first secure container rule is received from the RMS server in the form of a use license. This use license contains rules generated by the RMS server specifically for the user (or user's group).
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM-enabled device contains hardware or software for receiving and opening secure documents. The secure documents have the capacity to contain IRM-governed content, with a rule being associated with each secure document. The rules associated with said secure documents are the rules that come as part of the originally received document as well as rules that come back from the RMS server.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus,	Protected information on the RM-enabled device is protected by the use of at least cryptographic technique. The rule governing the document works

said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	together with an additional rule to determine what access to or use (if any) are allowed with respect to the IRM-governed document. For example, the additional rule may be associated with an email to which the document was attached, or received together with the rule in the use license.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	The device includes hardware or software used for transmitting or receiving secure documents. For example, RM-enabled OUTLOOK is designed to transmit and receive to/from other devices emails with IRM-governed documents attached thereto.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
3.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted;	The first secure container containing a governed item is an IRM protected email. Both the email and attachment are IRM protected, each having their own rules, each being encrypted.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item; and	The rule governing the email (a first secure container rule) governs said first secure container governed item.
a second secure container containing a digital certificate;	The second secure container is the IRM protected attachment's derived license request object. The license request object contains the Publishing license and a signed digital certificate.
hardware or software used for receiving and opening secure containers,	The RM (IRM) enabled computer has software for receiving and opening secure containers.
said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The IRM secure containers have capacity to contain a governed item, with a secure container rule being associated with each of said secure containers.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for	Protected information on the RM-enabled computer is protected by the use of at least cryptographic techniques. The rules governing the email itself (first

applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	secure container rule) and the rules governing the attachment work together to determine what access to or use (if any) will be allowed with respect to the governed item.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	IRM-enabled applications, e.g., OUTLOOK, are designed to transmit and receive RM secured containers to/from other computers.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
3.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted;	The first secure container containing a governed item is an IRM protected document, which is an attachment within an IRM protected email message. The governed item is the document's content. Both the email message and attachment are encrypted and have associated usage rules due to IRM protection.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item; and	A use license for the IRM protected document specifies rules governing access to or use of said first secure container governed item.
a second secure container containing a digital certificate;	The second secure container is the IRM protected email message. The IRM protected attachment includes a publishing license and an owner certificate, both of which are signed XrML digital certificates. The attachment (including embedded certificates) is contained within the IRM protected email message (said second secure container).
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers:	The RM (IRM) enabled computer has software for receiving and opening secure containers. The IRM secure containers have capacity to contain a governed item, with a secure container rule being associated with each of said secure containers.
a protected processing environment at least in part protecting information contained in said protected processing environment from	Protected information on the RM-enabled computer is protected by the use of at least cryptographic techniques.

tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	The rules governing the attachment (first secure container rule) and the rules governing the email message (second secure container rule) work together to determine what access to or use (if any) will be allowed with respect to the governed item.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	RM-enabled applications, e.g., OUTLOOK, are designed to transmit and receive RM secured containers to/from other computers.
4. A system as in claim 3,	
said memory storing a rule associated with said second secure container, said rule associated with said second secure container at least in part governing at least one aspect of access to or use of said digital certificate.	All parts of the attachment (including embedded signed XrML licenses/certificates) are protected by the enclosing email message and governed by the associated email rules (second secure container rule).

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
5.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted;	first secure container containing a governed item is an IRM protected email. Both the email and attachment are IRM protected, each having their own rules, each being encrypted.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item; and	The rule governing the email (a first secure container rule) governs said first secure container governed item.
a second secure container containing a digital signature, the second secure container being different from said first secure container;	The second secure container is the IRM protected attachment's derived license request object. The license request object contains the Publishing license and a signed digital certificate.
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM (IRM) enabled computer has software for receiving and opening secure containers. The IRM secure containers have capacity to contain a governed item, with a secure container rule being associated with each of said secure containers.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first secure container rule and a	Protected information on the RM-enabled computer is protected by the use of at least cryptographic techniques. The rules governing the email itself (first secure container rule) and the rules governing

second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	the attachment will work together to determine what access to or use (if any) will be allowed with respect to the governed item.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	RM-enabled applications, e.g., OUTLOOK, are designed to transmit and receive RM secured containers to/from other computers.

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INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,185,683

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
5.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted;	first secure container containing a governed item is an IRM protected email. Both the email and attachment are IRM protected, each having their own rules, each being encrypted.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item; and	The rule governing the email (a first secure container rule) governs said first secure container governed item.
a second secure container containing a digital signature, the second secure container being different from said first secure container;	The second secure container is the IRM email attachment. This attachment and its publishing license are signed.
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM (IRM) enabled computer has software for receiving and opening secure containers. The IRM secure containers have capacity to contain a governed item, with a secure container rule being associated with each of said secure containers.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first secure container rule and a	Protected information on the RM-enabled computer is protected by the use of at least cryptographic techniques. The rules governing the email itself (first secure container rule) and the rules governing

second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	the attachment work together to determine what access to or use (if any) will be allowed with respect to the governed item.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	RM-enabled applications, e.g., OUTLOOK, are designed to transmit and receive RM secured containers to/from other computers.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
5.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including, user controls, a communications port, a processor, a memory storing:	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a first secure container containing a governed item, the first secure container governed item being at least in part encrypted;	The first secure container containing a governed item is an IRM protected document, which is an attachment within an IRM protected email message. The governed item is the document's content. Both the email message and attachment are encrypted and have associated usage rules due to IRM protection.
a first secure container rule at least in part governing an aspect of access to or use of said first secure container governed item; and	A use license for the IRM protected document specifies rules governing access to or use of said first secure container governed item.
a second secure container containing a digital signature, the second secure container being different from said first secure container;	The second secure container is the IRM protected email message. The IRM protected attachment includes a publishing license and an owner certificate, both of which are signed XrML digital certificates. The attachment (including embedded certificates) is contained within the IRM protected email message (said second secure container).
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM (IRM) enabled computer has software for receiving and opening secure containers. The IRM secure containers have capacity to contain a governed item, with a secure container rule being associated with each of said secure containers.
a protected processing environment at least in part protecting information contained in said	Protected information on the RM-enabled computer is protected by the use of at least

protected processing environment from tampering by a user of said first apparatus,	cryptographic techniques.
said protected processing environment including hardware or software used for applying said first secure container rule and a second secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item contained in a secure container; and	The rules governing the attachment (first secure container rule) and the rules governing the email message (second secure container rule) work together to determine what access to or use (if any) will be allowed with respect to the governed item.
hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses.	RM-enabled applications, e.g., OUTLOOK, are designed to transmit and receive RM secured containers to/from other computers.
6. A system as in claim 5,	
said memory storing a rule at least in part governing an aspect of access to or use of said digital signature.	All parts of the attachment (including embedded signed XrML licenses/certificates) are protected by the enclosing email message and governed by the associated email rules (second secure container rule).

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,185,683

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
28.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A system including:	
a first apparatus including; user controls, a communications port, a processor,	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM.
a memory containing a first rule,	The first rule governs use of an IRM protected document (e.g., an IRM rule permitting a document to be read by specified users or barring access to IRM-governed information from specified users, applications, or other principals).
hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM-enabled device contains hardware or software for receiving and opening secure containers. The secure email has the capacity to contain an IRM-governed email message, with a rule being associated with each email.
a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first rule and a secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item; and	Protected information on the RM-enabled device is protected by the use of at least cryptographic techniques. The secure container rule is an IRM rule governing access to the IRM protected document (e.g., a rule permitting editing by specified users). The rule governing the email works together with an additional rule to determine what access to or use (if any) are allowed with respect to the IRM-governed email message (the document's content). For example, the additional rule may be received together with the rule in the use license, may be associated with a publishing license, may be associated with user certification, revocation lists, or exclusion policies, or may be received from any other source.
hardware or software used for transmission of	The device includes hardware or software used

1 2 3	secure containers to other apparatuses or f r the receipt of secure containers from other apparatuses; and	for transmitting or receiving secure containers. For example, RM-enabled OUTLOOK is designed to transmit and receive encrypted IRM-governed emails to/from other devices.
3	a second apparatus including:	
4 5 6 7 8 9 10	user controls, a communications port, a processor, a memory containing a second rule,	A device with user controls, a communications port, a processor and memory. For example, the user controls may be a keyboard and mouse, the communications port may be a NIC card with an Ethernet port, the processor may be a CPU, and the memory may be a hard-drive or RAM. The second rule governs use of an IRM protected document (e.g., an IRM rule permitting a document to be read by specified users or barring access to IRM-governed information from specified users, applications, or other principals).
11 12 13 14	hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	The RM-enabled device contains hardware or software for receiving and opening secure containers. The secure email has the capacity to contain an IRM-governed email item, with a rule being associated with each secure containers.
15 16 17 18 19 20 21 22 23 24	a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said apparatus, said protected processing environment including hardware or software used for applying said second rule and a secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item;	Protected information on the RM-enabled device is protected by the use of at least cryptographic technique. The secure container rule is an IRM rule governing access to the IRM protected document (e.g., a rule permitting editing by specified users). The rule governing the email works together with an additional rule to determine what access to or use (if any) are allowed with respect to the IRM-governed item (the document's content). For example, the additional rule may be received together with the rule in the use license, may be associated with a publishing license, may be associated with user certification, revocation lists, or exclusion policies, or may be received from any other source.
25 26	hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses; and	The device includes hardware or software used for transmitting or receiving secure containers. For example, RM-enabled OUTLOOK is designed to transmit and receive encrypted IRM-governed emails to/from other devices.
27 28	an electronic intermediary, said intermediary including a user rights authority clearinghouse.	The RMS Server (Microsoft hosted or otherwise) constructs a 'use license' specific to a piece content and targets it to a specific user.

29. A system as in claim 28, said user rights authority clearinghouse operatively connected to make rights available to users.	The RMS server sends *use licenses* to users through a communications port, e.g., Ethernet, serial, satellite, "the internet" These use licenses include rights. The clearing functionality of the RMS is operatively connected to the RMS server.

28.	Product Infringing: Windows Media Rights Manager and Windows Media Player
A system including:	
(a) a first apparatus including;	Consumer's computer, as shown in WMRM SDK
(1) user controls,	Consumer's computer, as shown in WMRM SDK
(2) a communications port,	Consumer's computer, as shown in WMRM SDK
(3) a processor,	Consumer's computer, as shown in WMRM SDK
(4) a memory containing a first rule,	Memory is in the consumer's computer, first rule is a right received as part of a signed license (WMRM SDK, Step 9)
(5) hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain a governed item, a secure container rule being associated with each of said secure containers;	Consumer's computer receives Windows Media file (secure container) via communications port (WMRM SDK, Step 3) and applies secure container rule or rules via Windows Media Player and Windows Media Rights Manager.
(6) a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said first apparatus, said protected processing environment including hardware or software used for applying said first rule and a secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item; and	Processing environment includes Windows Media Rights Manager and Windows processes for protecting operation of Windows Media Rights Manager
(7) hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses; and	Hardware or software employed in transmitting Windows Media files, including for example consumer's computer's communication port and Windows Media Player (WMRM SDK, Step 3)
(b) a second apparatus including:	2nd consumer's computer
(1) user controls,	2nd consumer's computer
(2) a communications port,	2nd consumer's computer
(3) a processor,	2nd consumer's computer
(4) a memory containing a second rule,	Memory is in the 2nd consumer's computer, first rule is a Right received as part of a signed license (WMRM SDK, Step 9)
(5) hardware or software used for receiving and opening secure containers, said secure containers each including the capacity to contain	2nd consumer's computer receives Windows Media file (secure container) via communications port (WMRM SDK, Step 3) and applies secure container rule or rules via

	a governed item, a secure container rule being associated with each of said secure containers;	Windows Media Player and Windows Media Rights Manager.
(6)	a protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said apparatus, said protected processing environment including hardware or software used for applying said second rule and a secure container rule in combination to at least in part govern at least one aspect of access to or use of a governed item;	Processing environment includes Windows. Media Rights Manager and Windows processes for protecting operation of Windows Media Rights Manager; processing environment applies multiple rules in combination
(7)	hardware or software used for transmission of secure containers to other apparatuses or for the receipt of secure containers from other apparatuses; and	Hardware or software employed in transmitting Windows Media files, including for example 2nd consumer's computer's communication port and Windows Media Player (WMRM SDK, Step 3)
(c)	an electronic intermediary, said intermediary including a user rights authority clearinghouse.	License Issuer
29. A system as in claim 28.		
	said user rights authority clearinghouse operatively connected to make rights available to users.	License Issuer, operatively connected to consumer's computer (WMRM SDK, Step 9)

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,185,683

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
56.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A method of securely delivering an item, including the following steps:	
performing an authentication step;	The RM-enabled application, e.g., Word, OUTLOOK, PowerPoint, etc., must be authenticated before it is allowed access to or use of the content.
associating a digital signature with said item;	The RM protected content is signed.
incorporating said item into a first secure electronic container, said item being at least in part encrypted while in said container,	RM-protected content is packaged with rules and encrypted.
said incorporation occurring in an apparatus containing a first protected processing environment, said protected processing environment at least in part protecting information contained in said protected processing environment from tampering by a user of said apparatus;	Protected information on the RM enabled computer is protected by the use of at least cryptographic techniques.
in said protected processing environment, associating a first rule with said first secure electronic container, said first rule at least in part governing at least one aspect of access to or use of said item;	The IRM-protected document (said item) has an associated rule or rules.
authenticating an intended recipient of said item;	A recipient of IRM-protected content must be authenticated before being allowed access to or use of the content.
transmitting said first secure electronic container and said first rule to said intended recipient; and	The document is sent via IRM-protected email as an attachment.
using a second protected processing environment, providing said intended recipient access to at least a portion of said item,	The email is received at another IRM-enabled computer.
said access being governed at least in part by said first rule and by a second rule present at said intended recipient's site.	The first said rule is the rule(s) associated with the attached document, and the second rule is the rule(s) received that govern the email itself.

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126.	Product Infringing: Windows Hardware Quality Labs Authentication services, Windows operating Systems (such as Windows XP) that support the driver signing features, and any product using Driver Signing feature
A method of providing trusted intermediary services including the following steps:	
at a first apparatus, receiving an item from a second apparatus;	Microsoft's Window Hardware Quality Labs (WHQL) (first apparatus) receiving driver package (item) from independent hardware vendor (IHV) or any driver developer (second apparatus).
associating authentication information with said item;	The signature information of a security catalog file (see next element of claim) names Microsoft as the publisher. WHQL's signature is intended to signify that a driver has complied with Microsoft's Windows compatibility and/or Secure Audio Path (SAP) specifications.
incorporating said item into a secure digital container;	The hashes of the files making up the driver package are included in the signed security catalog file for the driver package. The catalog file makes the driver package a secure digital container.
associating a first rule with said secure digital container, said first rule at least in part governing at least one aspect of access to or use of said item;	Driver developers specify rules in an INF file that govern the installation and/or use of the driver. For example, as specified in the INF, the installation events will vary based on the user's operating system version, which includes architecture, product type and suite. The INF logging rules and can further specify security rules that are evaluated when the driver is used.

White Paper – Operating-System Versioning for Drivers under Windows XP

Setup selects the [*Models*] section to use based on the following rules:

If the INF contains [*Models*] sections for several major or minor operating system version numbers, Setup uses the section with the highest version numbers that are not higher than the operating system version on which the installation is taking place. |

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If the INF [*Models*] sections that match the operating system version also include product type decorations, product suite decorations, or both, then Setup selects the section that most closely matches the running operating system.

Suppose, for example, Setup is running on Windows XP Professional (which is operating system version 5.1), and it finds the following entry in a [Manufacturer] section:

%FooCorp%=FooMfg, NT, NT.5, NT.5.5, NT....0x80

In this case, Setup will look for a [*Models*] section named [FooMfg.NT.5]. Setup will also use the [FooMfg.NT.5] section if it is running on a Datacenter version of Windows .NET Server, because a specific major/minor version takes precedence over the product type and suite mask.

For example, to create an INF that is intended for use only on Windows XP, the INF file could contain the following:

[Manufacturer]
"Foo Corp." = FooMfg, NT.5.1, NT.5.2
[FooMfg.NT.5.1]
"Foo Device" = FooDev, *FOO1234

Note the omission of the undecorated [FooMfg] section, as well as the omission of the [FooMfg.NT.5.2] section. This INF file would appear to be "empty" on any operating system other than Windows XP.

Access Control List Rules

XP DDK – Tightening File-Open Security in a Device INF File

For Microsoft Windows 2000 and later, Microsoft tightened file-open security in the class installer INFs for certain device classes, including CDROM, DiskDrive, FDC, FloppyDisk, HDC, and SCSIAdapter.

If you are unsure whether the class installer for your device has tightened security on file opens, you should tighten security by using the device's INF file to assign a value to the **DeviceCharacteristics** value name in the registry. Do this within an *add-*

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	registry-section, which is specified using the INF AddReg directive.
transmitting said secure digital container and said first rule to a third apparatus, said third apparatus including a protected processing environment at least in part protecting information stored in said protected processing environment from tampering by a user of said third apparatus;	Microsoft, IHV, driver developer or any other party distributing signed driver packages transmitting the driver package to user (third apparatus). Since the driver package includes the INF file, it will include the first rule. The protected processing environment (PPE) is Windows operating system with its pertinent services such as Windows File Protection, signature and cryptographic functions, Plug and Play and Set-up and their related default and modifiable policies. The PPE checks for signatures on driver packages and detects situations when the driver package's signature does not match the driver package. Additionally, the Digital Rights Manager (DRM) components (kernel and client) will contribute to making the third apparatus a PPE when the SAP functionality is invoked. [That is, when SAP is required, an additional signature is checked to verify that the driver is SAP compliant and that it hasn't been tampered with.]
said third apparatus receiving said secure digital container and said first rule;	The end-user receiving the driver package.
said third apparatus checking said authentication information; and	A step in the Plug and Play/Setup driver installation process checks signature at installation. Additionally, the DRM component will check the DRM signature when invoking DRM functionality. White Paper – Driver Signing for Windows During driver installation, Windows compares the hashes contained in the driver's CAT file with the computed hash of the driver binaries to determine whether the binaries have changed since the CAT file was created. If a driver fails the signature check or there is no CAT file, what happens next depends on the driver signing policy in effect on the user's system: If the policy is set to Ignore, the driver installs silently, with no message to the user. If the policy is set to Warn, a message warns the user the driver is unsigned, which means that it has not passed WHQL

1		testing and might cause problems. The Warn dialog box gives an administrative user the option to override the warning and install an unsigned driver anyway.
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4		If the policy is set to Block, the system displays a message that informs the user that the driver cannot be installed because it is not digitally signed.
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6	said third apparatus performing at least one action on said item, said at least one action being governed, at least in part, by said first rule and by a second rule resident at said third apparatus prior to said receipt of said secure digital container and said first rule, said action governance occurring at least in part in said protected processing environment.	The action would be installing and/or using the driver. For example, installation policies govern the actions (ignore, warn or block) taken based on whether a driver is signed or not and these policies (rule) are resident on the third apparatus. Another rule is the "ranking" of available drivers when selecting a driver to install. This ranking process includes whether a driver is signed or not. Another rule is the security access rules that the class installer that will be used to install the device has.
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12		In the case of DRM, the content will have associated rules governing its use in a SAP-complaint environment. These rules (the content license) can be resident at the third apparatus particularly in the case when a user is installing a new (SAP-compliant) device that will render previously acquired content or in the case that acquired content cannot be rendered until the user installs required drivers.
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18		For example, when installing:
19		The XP driver ranking process and the modifiable default related to signature state of the driver act as the second rule.
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21		The driver will be installed only if the first and second rules validate.
22		Operating-System Versioning for Drivers under Windows XP
23		
24		*Default System Policy for Unsigned Drivers*
25		If the user installs an unsigned driver for a designated device class from disk or from another web site, Windows XP/Windows 2000 displays a warning that the driver is unsigned, thus helping to preserve the integrity of the released system. However, by default, Windows XP/Windows 2000
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	does not block installation of unsigned drivers, so vendors can get urgent hot-fixes to customers while waiting for WHQL t test the fix.

In Windows XP, the default driver signing policy can be changed through the Hardware tab of the System applet on the Control Panel. A user can change the policy to be more restrictive, but not less restrictive on a per-user basis (that is, a user can change Warn to Block, but not to Ignore). An administrator can change the policy to be either more restrictive or less restrictive for all users on the system by checking "Apply the setting as system default."

Driver Ranking

Under Windows XP, the driver ranking strategy has been modified as follows:

If an INF file is unsigned, and if neither the *[Models]* section nor the *[DDInstall]* section is decorated with an NT-specific extension, the INF file is considered "suspect" and its rank is shifted into a higher range (that is, worse) than all hardware and compatible rank matches of INF files for which one (or both) of those criteria are met.

The new ranking ranges will now be:

0 – 0xFFF (DRIVER_HARDWAREID_RANK) : "trusted" hardware-ID match
0x1000 – 0x3FFF : "trusted" compatible-ID match
0x8000 – 0x8FFF : "untrusted" hardware-ID match
0x9000 – 0xBFFF : "untrusted" compatible-ID match
0xC000 - 0xCFFF : "untrusted" undecorated hardware-ID match (possibly a Windows 9x-only driver)
0xD000 - 0xFFFF : "untrusted" undecorated compatible-ID match (possibly a Windows 9x-only driver) |
| 127. A method as in claim 126, in which said authentication information at least in part identifies said first apparatus and/or a | The authentication information will identify Microsoft, operator of the first apparatus. |

1	user of said first apparatus.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,185,683

126.	Products Infringing: Microsoft Software that includes the Authenticode feature, .NET Framework SDK, Visual Studio, Microsoft technology that supports a digital signature function (such as ActiveX), Windows Installer technology.
A method of providing trusted intermediary services including the following steps:	Infringement is based on use Microsoft ActiveX control, Cabinet file, Microsoft Windows Installer, Authenticode and Software Restriction Policy technologies. For example, a software publisher distributing a signed application that has licensed ActiveX controls embedded within it would practice this method.
at a first apparatus, receiving an item from a second apparatus;	The item is unsigned software such as an ActiveX control or any software packaged in a cabinet file or Microsoft Installer (.msi) file. Within the development environment, multiple software developers (working on a second apparatus) will send their unsigned software to a secure location (first apparatus) containing the entity's private signing key. An example entity would be a software publisher. Source: Deploying ActiveX Controls on the Web with the Internet Component Download **The holder of the digital certificate** Keeping your digital certificate safe is very important. Some firms (including Microsoft) do not keep their signature file on site. The signature is kept with the Certificate Authority and files are sent there for signing.
associating authentication information with said item;	Signing the software associates the software publisher's identify with the software. Source: Packaging ActiveX Controls Signing Cabinet Files A .cab file can be digitally signed like an ActiveX control. A digital signature provides accountability for software developers: The signature associates a software vendor's name with a given file. A signature is applied to a .cab file (or control) using the Microsoft Authenticode®

		technology.
		The .cab tool set assists software developers in applying digital signatures to .cab files by allowing a developer to allocate space in the .cab file for the signature.
	incorporating said item into a secure digital container;	Signing software either directly or within a package (cabinet or .msi file) secures it in a digital container. Alternately, the signed ActiveX control could be placed into a signed cabinet file.
	associating a first rule with said secure digital container, said first rule at least in part governing at least one aspect of access to or use of said item;	The first rule would be the licensing support code within the ActiveX control and/or conditional syntax statements when the software is within a signed .msi file. When the software is within a signed cabinet file, the first rule can be a rule contained in the software, as is the case when an ActiveX control is packaged in a signed cabinet file. First rule, in the case of ActiveX: When an application with a licensed ActiveX control is started, an instance of the control usually needs to be created. The application accomplishes this by making a call to CreateInstanceLic and passing the license key embedded in the application as a parameter in the call. The ActiveX control performs a string comparison between the embedded license key and its own copy of the license key. If the keys match, an instance of the control is created and the application can execute normally. Source: Using ActiveX Controls to Automate Your Web Pages Run-time licensing Most ActiveX Controls should support design-time licensing and run-time licensing. (The exception is the control that is distributed free of charge.) Design-time licensing ensures that a developer is building his or her application or Web page with a legally purchased control; run-time licensing ensures that a user is running an application or displaying a Web page that contains a legally purchased control. Design-time licensing is verified by control containers such as Visual Basic, Microsoft Access, or Microsoft Visual InterDev®. Before these containers allow a developer to place a control on a form or Web page,

	they first verify that the control is licensed by the developer or content creator. These containers verify that a control is licensed by calling certain functions in the control: If the license is verified, the developer can add it. Run-time licensing is also an issue for these containers (which are sometimes bundled as part of the final application); the containers again call functions in the control to validate the license that was embedded at design time.
transmitting said secure digital container and said first rule to a third apparatus, said third apparatus including a protected processing environment at least in part protecting information stored in said protected processing environment from tampering by a user of said third apparatus;	The third apparatus is a user computer or an application server. The protected processing environment (PPE) is Windows operating system, Internet Explorer (IE) and pertinent operating IE services such as Windows File Protection and security, signature and cryptographic functions related to code signing and related policies. The PPE checks for signatures on software or the software packages and detects situations when the signature does not validate as an indication that tampering may have occurred with the item.
said third apparatus receiving said secure digital container and said first rule;	Having the third apparatus receiving said secure digital container and said first rule is typical of networked computing environments.
said third apparatus checking said authentication information; and	Examine the signature information includes verifying that signature was creating using the private key that corresponds to the public key of the publisher.
said third apparatus performing at least one action on said item, said at least one action being governed, at least in part, by said first rule and by a second rule resident at said third apparatus prior to said receipt of said secure digital container and said first rule, said action governance occurring at least in part in said protected processing environment.	The action would be installation and/or use of the distributed software. The second rule can be software restriction policies resident on the machine, which can be invoked at installation and/or runtime. .NET Framework Security – pg 259 and White Paper – Using Software Restriction Policies in Windows XP and Windows .NET Server to Protect Against Unauthorized Software Software Restriction Polices is a policy-driven technology that allows administrators to set code-identity-based rules that determine whether an application is allowed to execute. (.NET Framework Security – pg 259)

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	For example, administrators can set rules for all Windows Installer packages coming from the Internet or Intranet zone.

As part of the DLL load mechanisms, Software Restriction Policies is invoked and starts to check its most specific rules. Software Restriction Policies get invoked prior to an .exe being able to run.

The four types of rules are – hash, certificate, path, and zone.

Note: The hash and certificate rules relate directing to the signature information whereas, the path and zone rules do not. |
| 127. A method as in claim 126, in which said authentication information at least in part identifies said first apparatus and/or a user of said first apparatus. | The software publisher, user of first device, is identified in the authentication information. |

126.	Product infringing: Visual Studio .NET, .NET Framework SDK, Authenticode, Products that contain the .NET CLR, Compact CLR or CLI.
A method of providing trusted intermediary services including the following steps:	.
at a first apparatus, receiving an item from a second apparatus;	First apparatus is a software build or deployment services computer that has access to signing key. The item may be a program, graphic, media object or other resource, from a developer computer, or archive (second apparatus).
associating authentication information with said item;	Associating a cryptographic hash with the file that will contain this item for the purpose of ensuring the authenticity of the item, along with names and attributes that are desired to be associated with the item for identification purposes.
incorporating said item into a secure digital container;	Producing signed, strongly named assembly that contains this assembly and associated attributes.
associating a first rule with said secure digital container, said first rule at least in part governing at least one aspect of access to or use of said item;	Including any security demands (such as members of the Microsoft .NET Framework SDK Public Class CodeAccessSecurityAttribute) as part of the assembly.
transmitting said secure digital container and said first rule to a third apparatus, said third apparatus including a protected processing environment at least in part protecting information stored in said protected processing environment from tampering by a user of said third apparatus;	The third apparatus is a user computer or an application server. The third apparatus's protected processing environment is Windows NT and the .NET CLR, CLI and/or compact CLR. Information is protected from tampering because user is not administrator, user runs code on server, a share on another computer, or over a network. Further this information is protected by a number of protection mechanisms that are included with the Windows NT and CLR, CLI and/or compact CLR distributions.
said third apparatus receiving said secure digital container and said first rule;	Having the third apparatus receiving said secure digital container and said first rule is typical of networked computing environments.
said third apparatus checking said authentication information; and	The .NET Framework, when the assembly is installed into the global assembly cache (GAC), verifies the strong name of assemblies. This process includes verifying that signature was creating using the private key that corresponds to the

	public key of the publisher.
said third apparatus performing at least one action on said item, said at least one action being governed, at least in part, by said first rule and by a second rule resident at said third apparatus prior to said receipt of said secure digital container and said first rule, said action governance occurring at least in part in said protected processing environment.	The action is executing code that is the item or using code that renders the item. Action is governed by security demands on code that calls the item or on code that calls code included in the .NET assembly that manages said item. The second rule is the machine, enterprise, user, and application configuration file resident rules. Typically these configuration files will be populated before the arrival of most new assemblies in a virtual distribution environment. This action governance occurs in the protected processing environment of the CLR, CLI and/or compact CLR.

127. A method as in claim 126, in which said authentication information at least in part identifies said first apparatus and/or a user of said first apparatus.	The authentication information will identify the .NET Assembly Class company name and trademark attributes that identify the apparatus or user of the first apparatus as being a member of an entity or a branded source (brand name).

126.	Product infringing: Visual Studio .NET, .NET Framework SDK, Authenticode, Products that contain the .NET CLR, Compact CLR or CLI.
A method of providing trusted intermediary services including the following steps:	
at a first apparatus, receiving an item from a second apparatus;	The item is an unsigned .NET assembly, which can include, but not be limited to, a Web control, multi-file assembly or component. Within the development environment, multiple assembly builders (working on a second apparatus) will send their unsigned assembly to a secure location (first apparatus) containing the entity's private signing key. An example entity would be a software publisher. .NET Security Framework – pg 130-1 Describes this exact practice and further explains the "Delay Signing Assemblies" feature of .NET that accommodates the fact that "many publishers will keep the private key in a secure location, possibly embedded in specially designed cryptographic hardware." "Delay signing is a technique used by developers whereby the public key is added to the assembly name as before, granting the assembly its unique identity, but no signature is computed. Thus, no private key access is necessary."
associating authentication information with said item;	Strong naming the assembly binds the entity's/publisher's name into the assembly. The public portion of the key used to strongly name the assembly is placed in the assembly manifest. Other assemblies or applications can contain references to the strong names of strongly named assemblies such as in the case of applications that contain references to a set of compliant .NET core libraries. Strong naming compliant .NET core libraries with the European Computers Manufactures Association's (ECMA) key is a way to allow any publisher to develop compliant .NET core libraries that can be authenticated by other applications.

		.NET Security Framework – pg 124 "Strong naming is a process whereby an assembly name can be further qualified by the identity of the publisher." .NET Security Framework – pg 133 The publisher must advertise its public key or keys in an out-of-band fashion (such as documentation shipped with the product or on the company Web site) .NET Security Framework – pg 130 The goal of the ECMA key is to allow a slightly more generalized strong name binding than usual, namely allowing binding to the publisher of the runtime in use, rather than to a fixed publisher.
incorporating said item into a secure digital container;		Signing the assembly places it in a secure container. .NET Framework Security – pg 527 Strong named assemblies cannot be modified in any manner without destroying the strong name signature. Applied Microsoft .NET Framework Programming – pg 89 *Strongly Named Assemblies Are Tamper-Resistant* When the assembly is installed into the GAC, the system hashes the contents of the file containing the manifest and compares the hash value with the RSA digital signature value embedded within the PE file (after unsigning it with the public key). If the values are identical, the file's contents haven't been tampered with and you know that you have the public key that corresponds to the publisher's private key. In addition, the system hashes the contents of the assembly's other files and compares the hash values with the hash values stored in the manifest file's FileDef table. If any of the hash values don't match, at least one of the assembly's files has been tampered with and the assembly will fail to install into the GAC.
associating a first rule with said secure digital container, said first rule at least in part governing at least one aspect of access to or use of said item;		A .NET assembly includes imperative and declarative statements/rules that will govern its access or use. For example, role-based security or strong name demands in the assembly can be the first rule. MSDN on Role-Based Security Applications that implement role-based security grant rights based on the role

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	associated with a principal object. The principal object represents the security context under which code is running. The PrincipalPermission object represents the identity and role that a particular principal class must have to run. To implement the PrincipalPermission class imperatively, create a new instance of the class and initialize it with the name and role that you want users to have to access your code. MSDN on StrongNameIdentityPermission StrongNameIdentityPermission class defines the identity permission for strong names. StrongNameIdentityPermission uses this class to confirm that calling code is in a particular strong-named assembly.
transmitting said secure digital container and said first rule to a third apparatus, said third apparatus including a protected processing environment at least in part protecting information stored in said protected processing environment from tampering by a user of said third apparatus;	The third apparatus is a user computer or an application server. The software publisher transmitting the .NET assembly to an end-user with a CLR. The third apparatus's protected processing environment is Windows NT and the .NET CLR, CLI and/or compact CLR. Information is protected from tampering because user is not administrator, user runs code on server, a share on another computer, or over a network. Further this information is protected by a number of protection mechanisms that are included with the Windows NT and CLR, CLI and/or compact CLR distributions.
said third apparatus receiving said secure digital container and said first rule;	The end-user receiving the signed assembly.
said third apparatus checking said authentication information; and	The .NET Framework, when the assembly is installed into the global assembly cash (GAC), verifies the strong name of assemblies. This process includes verifying that signature was creating using the private key that corresponds to the public key of the publisher. Applied Microsoft .NET Framework Programming – pg 89 *Strongly Named Assemblies Are Tamper-Resistant* As above. .NET Framework Security – pg 128 The verification of any strong name assemblies is performed automatically when needed by the .NET Framework. Any assembly claiming a strong name but

	failing verification will fail to install into the global assembly or download cache or will fail to load at runtime.
said third apparatus performing at least one action on said item, said at least one action being governed, at least in part, by said first rule and by a second rule resident at said third apparatus prior to said receipt of said secure digital container and said first rule, said action governance occurring at least in part in said protected processing environment.	Within the CLR (protected processing environment), the execution of the program will depend upon whether the user is of the "role" required of the assembly or whether the calling assembly is from a strong-named assembly specified in the "item" assembly (alternate first rules) and only if assembly complies with the local code access security policy (second rule), as an example of one of the types of rules that .NET Framework allows to be resident on the third apparatus..
127. A method as in claim 126, in which said authentication information at least in part identifies said first apparatus and/or a user of said first apparatus.	The user of the first apparatus is the developer at the assembly developer. Strong naming binds the publisher's name to assembly.

LaMacchia, Brian, etc, .NET Framework Security, Addison-Wesley, 2002
Richter, Jeffrey, Applied Microsoft .NET Framework Programming, Microsoft Press, 2002

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,253,193

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
1	Infringing products include Windows Media Player and Windows Media Rights Manager. SDK
A method comprising:	
(a) receiving a digital file including music;	Reference is made to the Windows Media Rights Manager SDK Programming Reference ("WMRM SDK"), attached hereto as Exhibit A. Media Player infringement analysis is set forth herein using the example of a music file downloaded and transferred to a portable audio player. Consumer receives a Windows Media file (WMRM SDK, Step 3)
(b) storing said digital file in a first secure memory of a first device;	Windows Media file is stored in consumer's computer and all use of it is securely managed by the Secure Content Manager in Windows Media Player.
(c) storing information associated with said digital file in a secure database stored on said first device, said information including at least one budget control and at least one copy control, said at least one budget control including a budget specifying the number of copies which can be made of said digital file; and said at least one copy control controlling the copies made of said digital file;	License is stored in the License Store (WMRM SDK, Step 5); license includes Rights which may include AllowTransfertoNonSDMI, AllowTransfertoSDMI, (or Allow Transfer to WM-D-DRM-Compliant devices or other types of devices), and TransferCount- the number of times a piece of content may be transferred to the device (a transfer budget).
(d) determining whether said digital file may be copied and stored on a second device based on at least said copy control;	Windows Media Rights Manager enforces the license restrictions
(e) if said copy control allows at least a portion of said digital file to be copied and stored on a second device,	Windows Media Rights Manager determines whether the AllowTransferToNonSDMI or AllowTransferToSDMI rights are present.(Or, Allow Transfer to WM-D-DRM-Compliant devices or other types of devices.)
(1)copying at least a portion of said digital file;	Transfer to the SDMI or non-SDMI portable device (Allow Transfer to WM-D-DRM-Compliant devices or other types of devices), if allowed by Windows Media Rights Manager
(2)transferring at least a portion of said digital file to a second device including a memory and an audio and/or video output;	Portable device necessarily includes at least a memory and audio output
(3)storing said digital file in said memory of said second device; and	Music file is transferred to the portable device
(4)including playing said music through said audio output.	Portable device plays the music
2. A method as in claim 1, further comprising:	
(a) at a time substantially contemporaneous with said transferring step, recording in said	Counter reflecting TransferCount is decremented by Windows Media Rights

first device information indicating that said transfer has occurred.	Manager
3. A method as in claim 2, in which:	
(a) said information indicating that said transfer has occurred includes an encumbrance on said budget.	Counter decrement reduces the allowable number of budgeted transfers
4. A method as in claim 3, in which:	
(a) said encumbrance operates to reduce the number of copies of said digital file authorized by said budget.	Counter decrement reduces the allowable number of budgeted transfers

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INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
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FOR U.S. PATENT NO. 6,253,193

	Infringing products include Windows Media Player and Windows Media Rights Manager SDK
11. A method comprising:	
(a) receiving a digital file;	Consumer receives a Windows Media file (WMRM SDK, Step 3)
(b) storing said digital file in a first secure memory of a first device;	Windows Media file is stored in consumer's computer and all use of it is securely managed by the Secure Content Manager in Windows Media Player.
(c) storing information associated with said digital file in a secure database stored on said first device, said information including a first control;	License information is stored in the License Store (WMRM SDK, Step 10), license information includes Rights. License Rights may include AllowTransferToNonSDMI, AllowTransferToSDMI (Allow Transfer to WM-D-DRM-Compliant devices or other types of devices), TransferCount
(d) determining whether said digital file may be copied and stored on a second device based on said first control,	WMRM determines whether transfer rights are included in license (WMRM SDK, Step 5)
(1) said determining step including identifying said second device and determining whether said first control allows transfer of said copied file to said second device, said determination based at least in part on the features present at the device to which said copied file is to be transferred;	Portable Device Service Provider Module identifies the portable device as either SDMI-compliant or non-SDMI-compliant (or WM-D-DRM Compliant or other types of supported devices) and provides this information to Windows Media Device Manager, which allows the transfer based on whether the device identification matches the License Right.
(e) if said first control allows at least a portion of said digital file to be copied and stored on a second device,	If Windows Media Rights Manager determines whether the AllowTransferToNonSDMI or AllowTransferToSDMI rights are present (or Allow Transfer to WM-D-DRM-Compliant devices or other types of devices), the following steps are performed:
(1) copying at least a portion of said digital file;	Transfer to the SDMI or non-SDMI (Allow Transfer to WM-D-DRM-Compliant or other) portable device, if allowed by Windows Media Rights Manager
(2) transferring at least a portion of said digital file to a second device including a memory and an audio and/or video output;	Portable device necessarily includes at least a memory and audio output
(3) storing said digital file in said memory of said second device; and	Music file is stored in the portable device
(4) rendering said digital file through said output.	Portable device plays the music

	Product infringing: Windows Media Player, Windows Media Player, Windows Media Rights Manager SDK
15. A method comprising:	
(a) receiving a digital file;	Consumer receives a Windows Media file ((WMRM SDK, Step 3)
(b) an authentication step comprising:	
(1) accessing at least one identifier associated with a first device or with a user of said first device; and	License includes identity of user's Windows Media Player. WM Players capable of playing protected content must be individualized. They contain a unique (Individualized) DRM client component to which protected WMA content licenses are bound. Content licenses are bound to this DRM individualization module as the result of a challenge sent from the Client to the WMLM service. The challenge contains information about Individualized DRM Client (in the form of an encrypted Client ID) and capabilities of the machine (e.g. support for Secure Audio Path (SAP), version of the WMRM SDK supported in the player.
(2) determining whether said identifier is associated with a device and/or user authorized to store said digital file;	Music file cannot be used unless identifier indicated in License matches user's Windows Media Player identifier (that is, the Individualized DRM Client to which the license is bound must be the same one supported by the device).
(c) storing said digital file in a first secure memory of said first device, but only if said device and/or user is so authorized, but not proceeding with said storing if said device and/or user is not authorized;	Music file will not be processed through Windows Media Player, including protected rendering buffers, unless the identifiers match. Protected WMA file can be stored on client even if unauthorized but it cannot be decrypted and enter into the secure boundary (first secure memory) of the player unless appropriately licensed.
(d) storing information associated with said digital file in a secure database stored on said first device, said information including at least one control;	License includes Rights and is stored in the License Store, Rights may include AllowTransferToNonSDMI, AllowTransferToSDMI, (or Allow Transfer To WM-D-DRM-CompliantDevice or other device) TransferCount
(e) determining whether said digital file may be copied and stored on a second device based on said at least one control;	Windows Media Rights Manager enforces the license restrictions
(f) if said at least one control allows at least a portion of said digital file to be copied and stored on a second device,	If appropriate rights are present, the following steps are performed:
(1) copying at least a portion of said	Transfer to the SDMI or non-SDMI (or WM-

digital file;	D-DRM Compliant or other) portable device, if allowed by Windows Media Rights Manager
(2) transferring at least a portion of said digital file to a second device including a memory and an audio and/or video output;	Portable device necessarily includes at least a memory and audio output
(3) storing said digital file in said memory of said second device; and	Music file is stored in the portable device
(4) rendering said digital file through said output.	Portable device plays the music
16. A method as in claim 15, in which:	
said digital file is received in an encrypted form; and further comprising: decrypting said digital file after said authentication step and before said step of storing said digital file in said memory of said first device.	Protected Windows Media File is encrypted. WMP will not decrypt file until license is processed. Licenses are bound to Individualization DLLs, which are bound to Hardware ID. Ind. DLL and Hardware ID must be verified as the Ids to which the license is bound – this is the authentication process. (Recall that this module was created based in part on receipt of the Client Hardware ID or fingerprint and the license was create based in part on receipt of a challenge from the client indicating the security properties (SAP-ready, SDK support, etc.) of the client).

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,253,193

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
19.	Infringing products include Office 2003 and included applications, and Server 2003, including Microsoft hosted RMS Service using Passport
A method comprising:	
receiving a digital file at a first device;	Receiving a digital file such as a Word Document, email, Excel spreadsheet, PowerPoint presentation, or other content at a recipient's device. Such content may be received via email, received on removable media, such as floppy disk, downloaded and viewable by Internet Explorer, *e.g.*, a web page possibly containing graphics and/or audio data, etc.
establishing communication between said first device and a clearinghouse located at a location remote from said first device;	If the digital file is subject to rights management, and the recipient tries to open the digital file in an IRM-enabled application, the IRM-enabled application contacts a remote RMS, *i.e.*, clearinghouse for a use license.
said first device obtaining authorization information including a key from said clearinghouse;	If the recipient is authorized to access or use the digital file, the RMS creates a license for the digital file. The RMS then seals a key inside the license so that only the recipient canaccess or use the digital file. Finally, the RMS sends the license back to the recipient.
said first device using said authorization information to gain access to or make at least one use of said first digital file, including using said key to decrypt at least a portion of said first digital file; and	The recipient's device then uses the key in the license to gain access or decrypt a portion of the digital file.
receiving a first control from said clearinghouse at said first device;	The license received from the RMS at the recipient's device contains at least one control, such as restricting the ability to print, forward, or edit.
storing said first digital file in a memory of said first device;	The digital file is stored in the memory of the said recipient's device, such as in RAM, on a hard drive, etc.
using said first control to determine whether said first digital file may be copied and stored on a second device;	The at least one control in the license limits copying the digital file. Such controls are set when the digital file was authored. For example, when the digital file is authored, the IRM-enabled application presented the author with a list of policy templates with different rights levels. The author selected an appropriate rights level which may for instance, allow other users in the system to open and read the document, but not

	to modify it, copy text from it, or forward it. These rights or controls are then associated with the digital file. When an attempt is made to access the digital file, the RMS determines the recipient's rights based on the recipient's identity and the policies or controls associated with the digital file.
if said first control allows at least a portion of said first digital file to be copied and stored on a second device,	If the control in the license allows copying the digital file to a second device, then at least a portion of the digital file is copied,
copying at least a portion of said first digital file;	such as by transferring or forwarding the digital file in an email message;
transferring at least a portion of said first digital file to a second device including a memory and an audio and/or video output;	A portion of the digital file is then transferred to a second device, such as a personal computer or portable device. The second device includes a memory and an audio and/or video output. The memory may be a hard-drive, RAM, CD, DVD, or other storage. The audio and/or video output may be speakers and/or a video monitor.
storing said first digital file portion in said memory of said second device; and	The digital file is stored in the second device's memory.
rendering said first digital file portion through said output.	The digital file is rendered through the output, such as played through the speakers and/or displayed on the video monitor. For example, a Word document is displayed on the screen of the video monitor.

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,253,193

	Infringing products include Windows Media Player, Windows Media Rights Manager SDK
19. A method comprising:	
(a) receiving a digital file at a first device;	WMRM SDK, Step 3.
(b) establishing communication between said first device and a clearinghouse located at a location remote from said first device;	WMRM SDK, Step 6.
(c) said first device obtaining authorization information including a key from said clearinghouse;	WMRM SDK, Step 9. [License contains the key]
(d) said first device using said authorization information to gain access to or make at least one use of said first digital file, including using said key to decrypt at least a portion of said first digital file; and	WMRM SDK, Step 11.
(e) receiving a first control from said clearinghouse at said first device;	WMRM SDK, Steps 8-9.
(f) storing said first digital file in a memory of said first device;	WMRM SDK, Step 3.
(g) using said first control to determine whether said first digital file may be copied and stored on a second device;	At least the following WMRMRights Object properties meet this limitation: AllowTransferToNonSDMI, AllowTransferToSDMI (or AllowTransfer T WM-D-DRM-Compliant Device or other) and TransferCount
(h) if said first control allows at least a portion of said first digital file to be copied and stored on a second device,	This and all subsequent claim steps occur when the condition specified in the WMRMRights Object property is met
(i) copying at least a portion of said first digital file;	Transfer to the SDMI or non-SDMI (or WM-D-DRM Compliant) portable device, if allowed by Windows Media Rights Manager
(j) transferring at least a portion of said first digital file to a second device including a memory and an audio and/or video output;	Portable device necessarily includes at least a memory and audio output
(k) storing said first digital file portion in said memory of said second device; and	Music file is stored in the portable device
(l) rendering said first digital file portion through said output.	Portable device plays the music

INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,253,193

	Infringing products include Windows Media Player, Windows Media Player, Windows Media Rights Manager SDK
51. A method comprising:	
(a) receiving a digital file at a first device;	WMRM SDK, Step 3.
(b) establishing communication between said first device and a clearinghouse located at a location remote from said first device;	WMRM SDK, Step 6.
(c) said first device obtaining authorization information from said clearinghouse; and	WMRM SDK, Step 9.
(d) said first device using said authorization information to gain access to or make at least one use of said first digital file;	WMRM SDK, Step 11.
(e) storing said first digital file in a memory of said first device;	WMA file stored on client
(f) using at least a first control to determine whether said first digital file may be copied and stored on a second device, said determination based at least in part on (1) identification information regarding said second device, and (2) the functional attributes of said second device;	If device is based on WM D-DRM, it has a certificate that is used to identify the device as compliant as well as the device's security level. The security level indicates support on the device for such attributes as an internal clock.
(g) if, based at least in part on said identification information, said first control allows at least a portion of said first digital file to be copied and stored on a second device.	If License specifies that transfer of protected WMA file to WM-D-DRM-Compliant device is allowed, transfer may occur.
(h) copying at least a portion of said first digital file;	If transfer is a licensed right as indicated in the license, the song is copied to the device via Windows Media Device Manager.
(i) transferring at least a portion of said first digital file to a second device including a memory and an audio and/or video output;	Windows Media Device Manager transfers the content to the device.
(j) storing said first digital file portion in said memory of said second device; and	WMA file is stored on device
(k) rendering said first digital file portion through said output.	WMA file is rendered.

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INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,915,019

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
33.	Infringing products include all Microsoft tools that support the Microsoft ActiveX licensing model, Visual Studio .NET, the Microsoft Installer SDK, and Operating System products that include the Microsoft Installer technology.
A data processing arrangement comprising at least one storing arrangement that at least temporarily stores a first secure container comprising first protected data and a first set of rules governing use of said first protected data,	The first protected data is an ActiveX control.

The first alternative for the first secure container is the signed .msi in which the ActiveX developer packaged the ActiveX control. The first set of rules is the conditional syntax statements of the signed .msi file.

The second alternative for the first secure container is the signed and licensed ActiveX control. The first set of rules is the license support code in the ActiveX control.

A third alternative for the first container is a signed cabinet file containing a (signed or unsigned) ActiveX control with license support code. The first set of rules is the license support code in the ActiveX control. |
and at least temporarily stores a second secure container comprising second protected data different from said first protected data and a second set of rules governing use of said second protected data; and	The second protected data is the application developer's application that includes/uses the ActiveX control. The application developer's signed .msi file (second secure container) contains the application (second protected data). The second set of rules is the signed .msi file's conditional syntax statements that will be governed the offer/installation of the application.
a data transfer arrangement, coupled to at least one storing arrangement, for transferring at least a portion of said first protected data and a third set of rules governing use of said portion of said first protected data to said second secure container,	Placing the licensed ActiveX control (first protected information) in a signed cabinet file (third secure container) that itself is included in the application's signed .msi file (second secure container). The third set of rules is the license support code in the ActiveX control.
further comprising	
means for creating and storing, in said at least one storing arrangement, a third secure container;	The ability of the application developer to package files in signed cabinet files.

said data transfer arrangement further comprising means for transferring said portion of said first protected data and said third set of rules to said third secure container, and means for incorporating said third secure container within said second secure container.	The third secure container is a cabinet file signed by the application developer and including at least the licensed ActiveX control (first protected information. The licensing support code in the ActiveX control when its developer added licensing support to the ActiveX control is the third set of rules.
34. A data processing arrangement as in claim 33 further comprising means for applying said third set of rules to govern at least one aspect of use of said portion of said first protected data.	Before an ActiveX control will create a copy of itself, the calling application has to pass a license key to the ActiveX control. The license support code in the ActiveX control (third rule set) evaluates the authenticity of the calling application's request.
35. A data processing arrangement as in claim 34 further comprising means for applying said second set of rules to govern at least one aspect of use of said portion of said first protected data.	Windows Installer operating system service enforces the conditional syntax statements of the application's signed .msi file. These statements govern the offer/installation of the ActiveX control.

41	Infringing products include all Microsoft tools that support the Microsoft ActiveX licensing model, Visual Studio .NET, the Microsoft Installer SDK, and Operating System products that include the Microsoft Installer technology.
A method comprising performing the following steps within a virtual distribution environment comprising one or more electronic appliances and a first secure container, said first secure container comprising (a) a first control set, and	The signed .msi file created by the ActiveX control developer is the first secure container. The conditional syntax statement(s) of the ActiveX control developer's signed .msi file is/are the first control set.
(b) a second secure container comprising a second control set and first protected information:	The first protected information is the ActiveX control. The first alternative for the second secure container is the signed and licensed ActiveX control. The second control set is the license support code in the ActiveX control. The second alternative for the second secure container is a signed cabinet file containing the (signed or unsigned) ActiveX control. The second control set is the license support code in the ActiveX control.
using at least one control from said first control set or said second control set to govern at least one aspect of use of said first protected information while said first protected information is contained within said first secure container;	The ActiveX control developer's conditional syntax statements (first control set) in the ActiveX developer's signed .msi file govern the offer/installation of the ActiveX control while it is in its signed .msi file. Alternately, the license support code (second control set) in the ActiveX control governs use of the licensed ActiveX control.
creating a third secure container comprising a third control set for governing at least one aspect of use of protected information contained within said third secure container;	The third secure container is a signed .msi file. The application developer packages its application in a signed .msi file (third secure container) and includes conditional syntax statements (third control set) in the signed .msi
incorporating a first portion of said first protected information in said third secure container, said first portion made up of some or all of said first protected information; and	Placing the ActiveX control into the application developer's signed .msi file (third secure container).
using at least one control to govern at least	The application developer's conditional

one aspect of use of said first portion of said first protected information while said first portion is contained within said third secure container.	syntax statement(s) in its signed .msi file govern the offer/installation ActiveX control while it is in the signed .msi file (third secure container).
42. A method as in claim 41, in which said first secure container further includes a fourth secure container comprising a fourth control set and second protected information and further comprising the following step:	The second protected information is a second ActiveX control. The first alternative for the fourth secure container is the signed and licensed second ActiveX control. The fourth control set is the license support code in the ActiveX control. The second alternative for the fourth secure container is a signed cabinet file containing the (signed or unsigned) second ActiveX control. The fourth control set is the license support code in the ActiveX control.
using at least one control from said first control set or said fourth control set to govern at least one aspect of use of said second protected information while said second protected information is contained within said first secure container.	The ActiveX control developer's conditional syntax statements (first control set) in the ActiveX developer's signed .msi file govern the offer/installation of the second ActiveX control while it is in its signed .msi file. Alternately, the license support code (second control set) in the ActiveX control governs use of the licensed ActiveX control.
47. A method as in claim 41, in which said step of creating a third secure container includes:	
creating said third control set by incorporating at least one control not found in said first control set or said second control set.	The application developer's conditional syntax statements are not found in either the first control set or the second control set.
52. A method as in claim 41 in which said step of creating a third secure container occurs at a first site, and further comprising:	
copying or transferring said third secure container from said first site to a second site located remotely from said first site.	The application developer at first site distributes its application to other sites.
53. A method as in claim 52 in which said first site is associated with a content distributor.	The application developer at the first site is the content distributor.
54. A method as in claim 53 in which said second site is associated with a user of	The application developer distributes the application to end-users.

content.	
55. A method as in claim 54 further comprising the following step:	
said user directly or indirectly initiating communication with said first site.	For Internet downloads, the user initiates the communication with the first site.
64. A method as in claim 54 in which said third control set includes one or more controls at least in part governing the use by said user of at least a portion of said first portion of said first protected information.	The application developer's conditional syntax statements (third control set) govern the installation of the ActiveX control (first protected information).
76. A method as in claim 41 in which said creation of said third secure container further comprises using a template which specifies one or more of the controls contained in said third control set.	The third secure container is the application developer's signed .msi file and the third control set is the conditional syntax statements in that file. Microsoft supplies several template .msi databases for use in authoring installation packages. The UISample.msi is the template recommended in the "An Installation Example" on MSDN. This template msi files contains several default conditional syntax statements. At least two of these conditional syntax statements directly govern the installation by blocking progress until the EULA is accepted.
78. A method as in claim 52 in which said creation of said third secure container further comprises using a template which specifies one or more of the controls contained in said third control set.	The third secure container is the application developer's signed .msi file and the third control set is the conditional syntax statements in that file. Microsoft supplies several template .msi databases for use in authoring installation packages. The UISample.msi is the template recommended in the "An Installation Example" on MSDN. This template msi files contains several default conditional syntax statements. At least two of these conditional syntax statements directly govern the installation by blocking progress until the EULA is accepted.

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INTERTRUST TECHNOLOGIES CORP. v. MICROSOFT CORP.
INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,915,019

81.	Infringing products include all Microsoft tools that support the Microsoft ActiveX licensing model, Visual Studio .NET, the Microsoft Installer SDK, and Operating System products that include the Microsoft Installer technology.
A data processing arrangement comprising:	
a first secure container comprising first protected information and a first rule set governing use of said first protected information;	The first alternative for the first secure container is the ActiveX control developer's signed .msi file containing a licensed ActiveX control (the first protected information). The conditional syntax statements of the signed .msi file are the first rule set. The second alternative for the first secure container is the signed cabinet file containing the ActiveX control. The license support code in the ActiveX control is the first rule set. The third alternative for the first secure container is the licensed and signed ActiveX control governed by license support code in the ActiveX control.
a second secure container comprising a second rule set;	The second secure container is the signed .msi file which the application developer package its application. The second rule set is the conditional syntax statements of the application developer's signed .msi file.
means for creating and storing a third secure container; and	The third container is a signed cabinet file containing at least the ActiveX control.
means for copying or transferring at least a portion of said first protected information and a third rule set governing use of said portion of said first protected information to said second secure container, said means for copying or transferring comprising:	Putting the licensed ActiveX control (first protected information) in a signed cabinet file (third secure container). The licensing support code in the ActiveX control is third rule set.
means for incorporating said third secure container within said second secure container.	Packaging the signed cabinet file in the signed .msi file.
82. A data processing arrangement as in claim 81 further comprising:	
means for applying at least one rule from said third rule set to at least in part govern at least one factor related to use of said portion of said first protected information.	The third rule set ensures the user is licensed.
83. A data processing arrangement as in claim 82 further comprising:	

means for applying at least one rule from said second rule set to at least in part govern at least one factor related to use of said portion of said first protected information.	The second rule set governs the offer/installation of first protected information.

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85.	Infringing products include all Microsoft tools that support the Microsoft ActiveX licensing model, Visual Studio .NET, the Microsoft Installer SDK, and Operating System products that include the Microsoft Installer technology.
A method comprising the following steps:	
creating a first secure container comprising a first rule set and first protected information;	The first protected information is the ActiveX control. The first alternative for the first secure container is the signed and licensed ActiveX control. The first rule set is the license support code in the ActiveX control. The second alternative for the first secure container is an (signed or unsigned) ActiveX control with license support contained within a signed cabinet file. The first rule set is the ActiveX license support code.
storing said first secure container in a first memory;	The first secure container is stored at the ActiveX control developer's location.
creating a second secure container comprising a second rule set;	The second secure container is the application developer's signed .msi file. The conditional syntax statements of the signed .msi file are the second rule set.
storing said second secure container in a second memory;	The second secure container is stored at the application developer's location.
copying or transferring at least a first portion of said first protected information to said second secure container, said copying or transferring step comprising:	The ActiveX control developer packages the control in a signed .msi file for distribution to the application developer's site.
creating a third secure container comprising a third rule set;	The third secure container is the ActiveX control developer's signed .msi file containing a licensed ActiveX control. The conditional syntax statements of the signed .msi file are the third rule set.
copying said first portion of said first protected information;	In preparation for using a msi authoring tool, such as Microsoft's Orca, copying the ActiveX control to a package staging area.
transferring said copied first portion of said first protected information to said third secure container; and	Using msi authoring tool to import the control into the signed .msi file.
copying or transferring said copied first portion of said first protected information from said third secure container to said second secure container.	The application developer installs the ActiveX control, which involves removing it from the ActiveX developer's signed .msi file and installing it into its environment. Subsequently, the

	application developer places the ActiveX control into its signed .msi file when it is packaging its application.

87. A method as in claim 85 in which said copied first portion of said first protected information consists of the entirety of said first protected information.	The entire ActiveX control is copied.

89. A method as in claim 85 in which	
said first memory is located at a first site,	The first memory is located at the ActiveX control developer's site.
said second memory is located at a second site remote from said first site, and	The second memory is located at the application developer's site.
said step of copying or transferring said first portion of said first protected information to said second secure container further comprises copying or transferring said third secure container from said first site to said second site.	The ActiveX control developer's signed .msi file is transferred from its site to the site of the application developer.

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85. (alternate infringing scenario)	Infringing products include all Microsoft tools that support the Microsoft ActiveX licensing model, Visual Studio .NET, the Microsoft Installer SDK, and Operating System products that include the Microsoft Installer technology.
A method comprising the following steps:	
creating a first secure container comprising a first rule set and first protected information;	The first protected information is the ActiveX control. The first alternative for the first secure container is the signed and licensed ActiveX control. The first rule set is the license support code in the ActiveX control. The second alternative for the first secure container is a (signed or unsigned) ActiveX control with license support contained within a signed cabinet file. The first rule set would remain the ActiveX license support code. The third alternative for the first secure container is a signed msi file in which the ActiveX control developer packaged its ActiveX control. The first rule set is the conditional syntax statement(s) of the signed msi file.
storing said first secure container in a first memory;	The first secure container is stored at the ActiveX control developer's location.
creating a second secure container comprising a second rule set;	The second secure container is the application developer's signed .msi file. The conditional syntax statements of the signed .msi file are the second rule set.
storing said second secure container in a second memory;	The second secure container is stored at the application developer's location.
copying or transferring at least a first portion of said first protected information to said second secure container, said copying or transferring step comprising:	The ActiveX control is placed in a cabinet file signed by the application developer and the signed cabinet file is placed in a .msi file signed by the application developer.
creating a third secure container comprising a third rule set;	The third secure container is signed cabinet file in which the application developer placed licensed ActiveX. The third rule set is the license support code in the ActiveX control.
copying said first portion of said first protected information;	Copying ActiveX control.
transferring said copied first portion of said first protected information to	Transferring ActiveX control to signed cabinet file.

said third secure container; and	
copying or transferring said copied first portion of said first protected information from said third secure container to said second secure container.	The application developer places the signed cabinet file into its signed .msi file when it is packaging its application.

87. A method as in claim 85 in which said copied first portion of said first protected information consists of the entirety of said first protected information.	The entire ActiveX control is copied.

93. A method as in claim 85 in which said step of copying transferring said copied first portion of said first protected information from said third secure container to said second secure container further comprises storing said third secure container in said second secure container.	The ActiveX control is placed in a cabinet file signed by the application developer and the signed cabinet file is placed in a .msi file signed by the application developer.

1:	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
A method of operating on a first secure container arrangement having a first set of controls associated therewith, said first secure container arrangement at least in part comprising a first protected content file, said method comprising the following steps performed within a virtual distribution environment including at least one electronic appliance:	The first protected content is a signed and licensed .NET component used by the .NET assembly. The .NET assembly is distributed with a signed and governed .msi file. The second protected content is another signed and licensed .NET component that is used by the .NET assembly.
using at least one control associated with said first secure container arrangement for governing, at least in part, at least one aspect of use of said first protected content file while said first protected content file is contained in said first secure container arrangement;	The first protected content is signed and licensed .NET component (first secure container) contained within the .NET assembly. The one control is a declarative statement(s) within the assembly's header.
creating a second secure container arrangement having a second set of controls associated therewith, said second set of controls governing, at least in part, at least one aspect of use of any protected content file contained within said second secure container arrangement;	The protected content is the same as the first protected content plus the additional implementation information included in the signed .msi file. The second secure container is the signed .msi file created for the .NET assembly. The signed .msi file's conditional syntax statements are the second set of controls that control the offer/installation of the .NET assembly.
transferring at least a portion of said first protected content file to said second secure container arrangement, said portion made up of at least some of said first protected content file; and	The entire .NET assembly is included in the signed .msi file. Packaging the .NET assembly in the signed .msi file involves the following process steps. In preparation for using a msi authoring tool, such as Microsoft's Orca, copying the .NET component to a package staging area. Using msi authoring tool to import the .NET component into the signed .msi file.
using at least one rule to govern at least one aspect of use of said first protected content file portion while said portion is contained within said second secure container arrangement;	The conditional syntax statement(s) of the signed .msi file (second secure container) control(s) the offer/installation of the .NET assembly.
in which	
said first secure container arrangement comprises a third secure container	The first alternative for the third secure container is a licensed and signed .NET

arrangement comprising a third set of controls and said first protected content file, and	component governed by the set of declarative statements comprising the LicenseProviderAttribute (third set of controls). The second alternative for the third secure container is a .NET component whose hash is included in the header of the .NET assembly. The set of declarative statements comprising the LicenseProviderAttribute is the third set of controls.
said first secure container arrangement further comprises a fourth secure container arrangement comprising a fourth set of controls and a second protected content file.	The first alternative for the fourth secure container is another licensed and signed .NET component governed by the set of declarative statements comprising the LicenseProviderAttribute (fourth set of controls). The second alternative for the fourth secure container is the container created when the hash of the .NET component is included in the header information of the .NET assembly. The set of declarative statements comprising the LicenseProviderAttribute is the fourth set of controls.

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33.	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
A data processing arrangement comprising at least one storing arrangement that at least temporarily stores a first secure container comprising first protected data and a first set of rules governing use of said first protected data,	The first protected information is the .NET component. The first alternate for the first secure container is the signed .msi file in which the .NET component developer packaged its .NET component. The first set of rules is the conditional syntax statements of the signed .msi file. The second alternative for the first secure container is a licensed and signed .NET component governed by the set of declarative statements comprising the LicenseProviderAttribute of the .NET component (first set of controls). The third alternative for the first container is a signed cabinet file containing a (signed or unsigned) .NET component with license support. The first set of controls is the set of declarative statements comprising the LicenseProviderAttribute of the .NET component.
and at least temporarily stores a second secure container comprising second protected data different from said first protected data and a second set of rules governing use of said second protected data; and	The second protected data is the .NET assembly developer's assembly that includes/uses the .NET component. The first alternative for the second secure container is a signed .msi file in which the .NET assembly developer packaged its multi-file assembly (second protected data). The second set of rules is the conditional syntax statements of the signed .msi file that governs the offer/installation of the .NET assembly. The second alternative for the second secure container is a signed .NET assembly. The second set of rules is the declarative rules within the assembly's header.
a data transfer arrangement, coupled to at least one storing arrangement, for	The third secure container is a signed .NET assembly governed by declarative rules in

transferring at least a portion of said first protected data and a third set of rules governing use of said portion of said first protected data to said second secure container,	its header (third set of rules). An alternative third rule set is the set of declarative statements comprising the LicenseProviderAttribute. The .NET assembly includes the .NET component. The secure .NET assembly is included in a signed .msi file (second secure container). An alternative third secure container is the container created by hashing the .NET component and including the hash in the header information of a .NET assembly. The .NET component is included in the signed and governed .NET assembly (second secure container). The third set of rules is the set of declarative statements comprising the LicenseProviderAttribute. An alternative third secure container is a signed cabinet file containing the .NET component and which is destined for a signed .msi file (second secure container). The third set of rules is the set of declarative statements comprising the LicenseProviderAttribute.
further comprising	
means for creating and storing, in said at least one storing arrangement, a third secure container;	The first alternative for the third secure container is a signed .NET assembly. In this case, the second secure container is the signed .msi file. The second alternative for the third container is the container created by including a hash of the .NET component in the header information of a .NET assembly. In this case, the second secure container is either the signed .msi file or the signed .NET assembly. The third alternative for the third container is a cabinet file signed by the .NET assembly developer containing the .NET assembly and/or the .NET component. In this case the signed .msi file is the second secure container.
said data transfer arrangement further comprising means for transferring said portion of said first protected data and said third set of rules to said third secure container, and means for incorporating said third secure container within said second secure container.	The first alternative for the third secure container is the signed .NET assembly, which includes and/or uses the licensed .NET component (first protected information). The third set of rules is a declarative rule within the .NET assembly's header. The .NET assembly is placed in a signed .msi file (second secure container).

	The second alternative for the third secure container is the container that results when the hash of the .NET component is added to the .NET assembly header information. The third set of rules is the set of declarative statements comprising the LicenseProviderAttribute added to the assembly. The third alternative for the third secure container is a cabinet file signed by the .NET assembly developer containing the .NET assembly and/or the .NET component. The third set of rules is a declarative rule(s) within the .NET assembly's header and/or the set of declarative statements comprising the LicenseProviderAttribute added to the assembly
34. A data processing arrangement as in claim 33 further comprising means for applying said third set of rules to govern at least one aspect of use of said portion of said first protected data.	When the third rule set is the declarative statement(s) of the assembly header, the runtime CLR enforces the statements. When the third set of rules is the set of declarative statements comprising the LicenseProviderAttribute added to the assembly, the license support code in the .NET component evaluates the authenticity of the calling assembly's request.
35. A data processing arrangement as in claim 34 further comprising means for applying said second set of rules to govern at least one aspect of use of said portion of said first protected data.	When the second set of rules is the conditional syntax statements of the signed .msi file, the Windows Installer operating system service enforces the conditional syntax statements of .NET assembly's signed .msi file, which govern the offer/installation of the .NET component. When the second set of rules is the declarative statement(s) within the assembly's header, the runtime CLR enforces the statements.

41.	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR; and the Microsoft Installer technology.
A method comprising performing the following steps within a virtual distribution environment comprising one or more electronic appliances and a first secure container, said first secure container comprising (a) a first control set, and	The signed .msi file created by the .NET component developer is the first secure container. The first conditional syntax statement(s) of the .NET component developer's signed .msi file is/are the first control set.
(b) a second secure container comprising a second control set and first protected information:	The first protected information is the .NET component. The first alternative for the second secure container is the signed and licensed .NET component. The second control set is the set of declarative statements comprising the LicenseProviderAttribute. The second alternative for the second secure container is a signed cabinet file. The second control set remains the set of declarative statements comprising the LicenseProviderAttribute.
using at least one control from said first control set or said second control set to govern at least one aspect of use of said first protected information while said first protected information is contained within said first secure container;	The .NET component developer's conditional syntax statements (first control set) in its signed .msi file governs the offer/installation of the .NET component while it is in the signed .msi file. Alternately, the set of declarative statements comprising the LicenseProviderAttribute (second control set) of the licensed .NET component governs use of the .NET component.
creating a third secure container comprising a third control set for governing at least one aspect of use of protected information contained within said third secure container;	The first alternative for the third secure container is a signed .NET assembly, the protected information is the .NET component and the third control set is the declarative statement(s) within the .NET assembly's header. The second alternative for the third secure container is a signed .msi file in which the .NET assembly developer packages its .NET assembly and the third control set is the conditional syntax statement(s) in the signed .msi file.

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incorporating a first portion of said first protected information in said third secure container, said first portion made up of some or all of said first protected information; and	In the first alternative, placing the .NET component into the signed .NET assembly. In the second alternative, placing the .NET component into the. Net assembly developer's signed msi file.
using at least one control to govern at least one aspect of use of said first portion of said first protected information while said first portion is contained within said third secure container.	In the first alternative, the .NET assembly developer's declarative statement(s) within the .NET assembly's header govern(s) the use of the .NET component while it is in the signed .NET assembly. In the second alternative, the conditional syntax statements of the .NET assembly developer's signed .msi file govern the offer/installation of the .NET component while it is in the signed .msi file.
42. A method as in claim 41, in which said first secure container further includes a fourth secure container comprising a fourth control set and second protected information and further comprising the following step:	The second protected information is a second .NET component. The first alternative for the fourth secure container is the signed and licensed second .NET component. The fourth control set is the set of declarative statements comprising the LicenseProviderAttribute of the second .NET component. The second alternative for the fourth secure container is a second signed cabinet file. The fourth control set is the set of declarative statements comprising the LicenseProviderAttribute.
using at least one control from said first control set or said fourth control set to govern at least one aspect of use of said second protected information while said second protected information is contained within said first secure container.	The .NET component developer's conditional syntax statements (first control set) in its signed .msi file governs the offer/installation of the second .NET component while it is in the signed .msi file. Alternately, the set of declarative statements comprising the LicenseProviderAttribute (fourth control set) of the licensed second .NET component governs use of the second .NET component.
47. A method as in claim 41, in which said step of creating a third secure container includes:	
creating said third control set by incorporating at least one control not found in said first control set or said second control set.	The .NET assembly developer's declarative statements (first alternative for third control set) and/or the developer's conditional syntax statements (second alternative for the third control set) are not found in either

	the first control set or the second control set.
52. A method as in claim 41 in which said step of creating a third secure container occurs at a first site, and further comprising:	
copying or transferring said third secure container from said first site to a second site located remotely from said first site.	The .NET assembly developer at first site distributes its assembly to other sites.
53. A method as in claim 52 in which said first site is associated with a content distributor.	The .NET assembly developer's business module is used to create and distribute its assembly.
54. A method as in claim 53 in which said second site is associated with a user of content.	The .NET assembly developer distributes the assembly to end-users.
55. A method as in claim 54 further comprising the following step:	
said user directly or indirectly initiating communication with said first site.	For Internet downloads, the user initiates the communication with the first site.
64. A method as in claim 54 in which said third control set includes one or more controls at least in part governing the use by said user of at least a portion of said first portion of said first protected information.	When the third control set is the .NET assembly developer's declarative statement(s) within the .NET assembly's header, it governs the user's use of the .NET component (first protected information).
	When the third control set is the .NET assembly developer's conditional syntax statements of the .NET assembly developer's signed .msi file, it governs the user's offer acceptance/installation of the .NET component (first protected information).
76. A method as in claim 41 in which said creation of said third secure container further comprises using a template which specifies one or more of the controls contained in said third control set.	When the third secure container is the .NET assembly developer's signed .msi file and the third control set is the conditional syntax statements in that file.
	Microsoft supplies several template .msi databases for use in authoring installation packages. The UISample.msi is the template recommended in the "An Installation Example" on MSDN. This template msi files contains several default conditional syntax statements. At least two of these conditional syntax statements directly govern the installation by blocking progress until the EULA is accepted.

78. A method as in claim 52 in which said creation of said third secure container further comprises using a template which specifies one or more of the controls contained in said third control set.	When the third secure container is the .NET assembly developer's signed .msi file and the third control set is the conditional syntax statements in that file. Microsoft supplies several template .msi databases for use in authoring installation packages. The UISample.msi is the template recommended in the "An Installation Example" on MSDN. This template msi files contains several default conditional syntax statements. At least two of these conditional syntax statements directly govern the installation by blocking progress until the EULA is accepted.

81.	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
A data processing arrangement comprising:	
a first secure container comprising first protected information and a first rule set governing use of said first protected information;	The first protected information is the .NET component. The first alternative for the first secure container is the signed .msi file in which the .NET component developer packaged its assembly. The first rule set is the conditional syntax statements written by the .NET component developer and placed into the signed .msi file. The second alternative for the first secure container is the signed cabinet file containing the (signed or unsigned) .NET component. The set of declarative statements comprising the LicenseProviderAttribute when its developer added licensing support to the assembly is the first rule set. The third alternative for the first secure container is the licensed and signed .NET component governed by the set of declarative statements comprising the LicenseProviderAttribute (first rule set) added by the .NET component developer.
a second secure container comprising a second rule set;	The first alternative for the second secure container is the signed .msi file in which the .NET assembly developer packaged its .NET assembly. The second rule set is the conditional syntax statements written by the .NET assembly developer and placed into the signed .msi file. The second alternative for the second secure container is the signed .NET assembly. The second rule set is the declarative statements in the .NET assembly's header.
means for creating and storing a third secure container; and	When the second secure container is the signed .msi file, the third secure container is the signed .NET assembly. When the second secure container is the

		signed .NET assembly, the third secure container a .NET component secured by placing it in a signed cabinet file or by including its hash in the header of the assembly.
	means for copying or transferring at least a portion of said first protected information and a third rule set governing use of said portion of said first protected information to said second secure container, said means for copying or transferring comprising:	When the second secure container is the signed msi file and the third secure container is the signed .NET assembly, the third rule set is the set of declarative statements within the assembly's header. When the second secure container is the signed .NET assembly, the third rule set is the set of declarative statements comprising the LicenseProviderAttribute (third rule set) added to the .NET component by its developer.
	means for incorporating said third secure container within said second secure container.	When the second secure container is the signed msi file and the third secure container is the signed .NET assembly, the assembly is placed in the signed .msi file. When the second secure container is the signed .NET assembly and the third secure container is a .NET component contained in a signed cabinet file or a .NET component whose hash is included in the header of the assembly, the third secure container is incorporated within the .NET assembly.
82. A data processing arrangement as in claim 81 further comprising:		
	means for applying at least one rule from said third rule set to at least in part govern at least one factor related to use of said portion of said first protected information.	When the third rule set is declarative statements within the assembly's header, it governs the use of the .NET assembly which includes the first protected information. When the third rule set is the set of declarative statements comprising the LicenseProviderAttribute added by the .NET component by its developer, it ensures the user is licensed.
83. A data processing arrangement as in claim 82 further comprising:		
	means for applying at least one rule from said second rule set to at least in part govern at least one factor related to use of said portion of said first protected information.	When the second rule set is the conditional syntax statements written by the .NET assembly developer and placed into the signed .msi file, it governs the offer/installation of the .NET component. When the second rule set is the declarative statements in the .NET assembly's header,

1	it governs the use of the .NET assembly,
2	which includes the first protected information.
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85. A method comprising the following steps:	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
creating a first secure container comprising a first rule set and first protected information;	The first protected information is the .NET component. The first secure container is a signed .NET component (first protected information) governed by the set of declarative statements comprising the LicenseProviderAttribute (first rule set). The second alternative for the first secure container is a cabinet file signed by the .NET component developer containing a (signed or unsigned) .NET component with license support. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute.
storing said first secure container in a first memory;	The first secure container is stored at the .NET component developer's location.
creating a second secure container comprising a second rule set;	The first alternative for the second secure container is a signed .NET assembly and the second rule set is declarative statement(s) within the assembly's header. The second alternative for the second secure container is the signed .msi file in which the .NET assembly developer packages its (signed or unsigned) assembly. The second rule set is the conditional syntax statement(s) written by the .NET assembly developer and placed into the signed .msi file.
storing said second secure container in a second memory;	The second secure container is stored at the .NET assembly developer's location.
copying or transferring at least a first portion of said first protected information to said second secure container, said copying or transferring step comprising:	The .NET component developer packages its module in a signed .msi file for distribution to the .NET assembly developer's site.
creating a third secure container comprising a third rule set;	The third secure container is the signed .msi file in which the .NET component developer packaged its .NET component. The third control set is the conditional syntax statements written by the .NET component developer and placed into the signed .msi file.
copying said first portion of said	In preparation for using a msi authoring

first protected information;	tool, such as Microsoft's Orca, copying the .NET component to a package staging area.
transferring said copied first portion of said first protected information to said third secure container; and	Using the msi authoring tool to import the .NET component into the signed .msi file.
copying or transferring said copied first portion of said first protected information from said third secure container to said second secure container.	The .NET assembly developer installs the .NET component, which involves removing it from the .NET component developer's signed .msi file and installing it into its environment. Subsequently, the .NET assembly developer places the .NET component into its .NET assembly and/or signed .msi file when it is packaging its .NET assembly.

87. A method as in claim 85 in which said copied first portion of said first protected information consists of the entirety of said first protected information.	The entire .NET component is copied.

89. A method as in claim 85 in which	
said first memory is located at a first site,	The first memory is located at the .NET component developer's site.
said second memory is located at a second site remote from said first site, and	The second memory is located at the .NET assembly developer's site.
said step of copying or transferring said first portion of said first protected information to said second secure container further comprises copying or transferring said third secure container from said first site to said second site.	The .NET component developer's signed .msi file is transferred from its site to the site of the .NET assembly developer.

94. A method as in claim 85 further comprising:	
creating a fourth rule set.	When the second secure container is not a signed .NET assembly, the fourth rule set is declarative statements within the assembly's header. When the second secure container is not the signed .msi file in which the .NET assembly developer packages its (signed or unsigned) assembly, the fourth rule set is the conditional syntax statements written by the .NET assembly developer and placed into the signed .msi file.

85 (alternate infringing scenario)	
A method comprising the following steps:	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
creating a first secure container comprising a first rule set and first protected information;	The first protected information is the .NET component. The first alternative for the first secure container is the signed and licensed .NET component. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component. The second alternative for the first secure container is a (signed or unsigned) .NET component with license support contained within a cabinet file signed by the .NET component developer. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component. The third alternative for the first secure container is the signed .msi file in which the .NET component developer packaged its assembly. The first rule set is the conditional syntax statements written by the .NET component developer and placed into the signed .msi file.
storing said first secure container in a first memory;	The first secure container is stored at the .NET component developer's location.
creating a second secure container comprising a second rule set;	The first alternative for the second secure container is a signed .NET assembly and the second rule set is declarative statement(s) within the assembly's header. The second alternative for the second secure container is the signed .msi file in which the .NET assembly developer packages its (signed or unsigned) assembly. The second rule set is the conditional syntax statement(s) written by the .NET assembly developer and placed into the signed .msi file.
storing said second secure container in a second memory;	The second secure container is stored at the .NET assembly developer's location.
copying or transferring at least a first	The .NET assembly developer places the

portion of said first protected information to said second secure container, said copying or transferring step comprising:	.NET component into the third secure container, which is either a signed cabinet file or a signed .NET assembly.
creating a third secure container comprising a third rule set;	When the second secure container is the signed .msi file, the third secure container is the signed .NET assembly. The third rule set is the declarative statement(s) in the .NET assembly's header. When the second secure container is either a .NET assembly or the signed .msi file, the third secure container is a signed cabinet file in which the .NET assembly developer placed licensed .NET component. The third rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component.
copying said first portion of said first protected information;	Copying the .NET component to either the .NET assembly or to the signed cabinet file.
transferring said copied first portion of said first protected information to said third secure container; and	Transferring the .NET component to either the .NET assembly or the signed cabinet file.
copying or transferring said copied first portion of said first protected information from said third secure container to said second secure container.	When the second secure container is the signed .msi file and the third secure container is the signed .NET assembly, the .NET assembly is placed into the signed .msi file. When the second secure container is either the .NET assembly or the signed .msi file and the third secure container is the signed cabinet file, the signed cabinet file is placed into either the .NET assembly or the signed .msi file.

87. A method as in claim 85 in which said copied first portion of said first protected information consists of the entirety of said first protected information.	The entire .NET component is copied.

93. A method as in claim 85 in which	
said step of copying transferring said copied first portion of said first protected information from said third secure container to said second secure container further comprises storing said third secure container in said second secure container.	When the third secure container is the signed .NET assembly, it is placed in the signed .msi file. When the third secure container is a signed cabinet file, it can be placed in either the .NET assembly and/or the signed .msi file.

94. A method as in claim 85 further comprising:	
creating a fourth rule set.	When the second rule set is declarative statement(s) within the assembly's header,

	the fourth rule set is the conditional syntax statement(s) written by the .NET assembly developer and placed into the signed .msi file.
	When the second rule set is the conditional syntax statement(s) written by the .NET assembly developer and placed into the signed .msi file, the fourth rule set is declarative statement(s) within the assembly's header or the set of declarative statements comprising the LicenseProviderAttribute in the .NET component.
95. A method as in claim 94 further comprising:	
using said fourth rule set to govern at least one aspect of use of said copied first portion of said first protected information.	If the fourth rule set is the .NET assembly developer's declarative statement(s) within the .NET assembly's header, it governs the use of the .NET component.
	If the fourth rule set is the conditional syntax statements of the .NET assembly developer's signed .msi file, it governs the offer/installation of the .NET component.

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85 (second alternate scenario for .NET)	Infringing products include the .NET Framework SDK, Microsoft Visual Studio .NET, the Microsoft Installer SDK, and products that include the Microsoft .NET CLR, and the Microsoft Installer technology.
A method comprising the following steps:	
creating a first secure container comprising a first rule set and first protected information;	The first protected information is a .NET component. The first alternative for the first secure container is the signed and licensed .NET component. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component. The second alternative for the first secure container is a (signed or unsigned) .NET component with license support contained within a cabinet file signed by the .NET assembly developer. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component. The third alternative for the first secure container is a .NET component whose hash is included in the assembly header of a .NET assembly. The first rule set is the set of declarative statements comprising the LicenseProviderAttribute in the .NET component.
storing said first secure container in a first memory;	The first secure container is stored at the .NET assembly developer's location.
creating a second secure container comprising a second rule set;	The second secure container is the signed .msi file in which the .NET assembly developer packages its signed assembly. The second rule set is the conditional syntax statement(s) written by the .NET assembly developer and placed into the signed .msi file.
storing said second secure container in a second memory;	The second secure container is stored at the .NET assembly developer's location.
copying or transferring at least a first portion of said first protected information to said second secure container, said copying or transferring step comprising:	The .NET assembly developer places the .NET component into the third secure container, which is the signed .NET assembly.
creating a third secure container comprising a third rule set;	The third secure container is a signed .NET assembly and the third rule set is

		declarative statement(s) within the assembly's header.
	copying said first portion of said first protected information;	Copying the .NET component to the .NET assembly.
	transferring said copied first portion of said first protected information to said third secure container; and	Transferring the .NET component to the .NET assembly.
	copying or transferring said copied first portion of said first protected information from said third secure container to said second secure container.	When the second secure container is the signed .msi file and the third secure container is the signed .NET assembly, the .NET assembly is placed into the signed .msi file.

87. A method as in claim 85 in which said copied first portion of said first protected information consists of the entirety of said first protected information.	The entire .NET component is copied.

90. A method as in claim 85 in which	
said first memory and said second memory are located at the same site.	First and second memory is at the .NET assembly developer's location.

93. A method as in claim 85 in which	
said step of copying transferring said copied first portion of said first protected information from said third secure container to said second secure container further comprises storing said third secure container in said second secure container.	When the third secure container is the signed .NET assembly, it is placed in the signed .msi file.

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96. A method comprising performing the following steps within a virtual distribution environment comprising one or more electronic appliances and a first secure container, said first secure container comprising a first control set and first protected information:	A signed and licensed .NET component (first container) is part of a .NET assembly (second container), which is packaged in a signed .msi file (third container).
using at least one control from said first control set to govern at least one aspect of use of said first protected information while said first protected information is contained within said first secure container;	The first secure container is a licensed and signed .NET component governed by the set of declarative statements comprising the LicenseProviderAttribute (one control).
creating a second secure container comprising a second control set for governing at least one aspect of use of protected information contained within said second secure container;	The second secure container is a .NET assembly, the protected information is the assembly and the second control set is declarative statement(s) within the assembly's header.
incorporating a first portion of said first protected information in said second secure container, said first portion made up of some or all of said first protected information;	Included in the .NET assembly is the .NET component.
using at least one control to govern at least one aspect of use of said first portion of said first protected information while said first portion is contained within said second secure container; and	The declarative statement(s) govern the use of the .NET component and the custom LicenseProvider class (first control set) controls the .NET component.
incorporating said second secure container containing said first portion of said first protected information within a third secure container comprising a third control set.	The third secure container is the signed .msi file in which the .NET assembly developer packages its assembly. The third control set is the conditional syntax statements written by the assembly developer and placed into the signed .msi file.

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 5,949,876

2.	Infringement is based on Microsoft's Visual Studio .NET and/or the .NET Framework licensing tools (in the .NET Framework SDK) and/or Microsoft Installer SDK..
A system for supporting electronic commerce including:	
means for creating a first secure control set at a first location;	The first location is a .NET component developer's site. The first secure control set is the set of declarative statements comprising the *LicenseProviderAttribute* of a first .NET licensed component that provides for a design-time license to use the control. This attribute also specifies the type of license validation that occurs. The component is encapsulated in a signed .NET assembly.
means for creating a second secure control set at a second location;	The second location is the .NET application developer's site where a .NET application comprising one or more assemblies is created. The second secure control set comprises the declarative statement(s) (including licensing statements, and code access security statements) of a signed .NET assembly using or calling the first .NET component. The control set can include a set of security permissions demanded by the .NET assembly containing the licensed component, whereby the permissions are demanded of components that call the application components. The control set can also be extended by controls expressed as conditional syntax statements in a signed .msi file containing a click through end-user license (the end-user license scenario).
means for securely communicating said first secure control set from said first location to said second location; and	The first .NET control set is securely communicated from the first location developer to the .NET solution provider by either being contained in a signed assembly, within a signed cabinet file or within a signed .msi file.
means at said second location for securely integrating said first and second control sets to produce at least a third control set comprising plural elements together comprising an electronic value chain extended agreement.	At the second location, the solution developer uses the .NET runtime that includes the LicenseManager. Whenever a class (control or component) is instantiated (here, an instance of the first .NET licensed component), the license manager accesses the proper validation mechanism for the control or component. A value chain is created through the creation of a run-time license for use of the first .NET component in the context of use of the .NET application developed at the second location. The

	license controls for the runtime license (derived from the design time license) are bound into the header of the .NET application assembly, along with the second control set.

The creation of runtime license controls is securely handled by Visual Studio.NET or the LC tool. Runtime licenses are embedded into (and bound to) the executing assembly. The license control attribute included in the first .NET component is customized in the second location to express and require the runtime license. In a different scenario, the LC tool is used to create a ".licenses file" containing licenses for multiple components, including runtime licenses for components and classes created by the license provider. This .licenses file is embedded into the assembly.

The third control set is an extended value chain agreement that comprises the runtime license controls for the first .NET licensed class (that had been bound to the assembly), the declarative controls provided by the solution provider in the solution provider's assembly, and any runtime licenses for other components included by the solution provider in the solution provider's assembly, and any end user license agreement provided by the application provider. The controls are typically integrated into the header of the .NET application assembly calling the first .NET licensed component.

A further "end user licensing scenario" occurs when, at the second location, the application developer packages the application into a signed .msi file that includes conditional syntax statement controls that require that a user read and agree to an end user license agreement for the application and the embedded first component. The third control set includes a plurality of elements that include the runtime licenses mentioned above, security permissions controls, EULA controls (a fourth control set), all securely bound into the signed .msi file. |
11. A system as in claim 2 in which said first location and said second location are contained within a Virtual Distribution Environment.	The Microsoft .NET Framework provides a Virtual Distribution Environment. Here the nodes are the Common Language Runtime instances that interpret the controls contained within .NET assemblies (among other functions).
29. A system as in claim 2 in which said first secure control set includes required	The licensing control in the first control set specifies the method required to validate

terms.	the license.
32. A system as in claim 2 in which said second secure control set includes required terms.	The security permissions demanded (as described above) are required terms for execution of the application code elements.
60. A system as in claim 2 in which said means for securely integrating said first and second control sets includes a fourth control set.	In the scenario where the application assembly is distributed using a signed .msi file, the secure integration of the first and second control sets is enhanced by the tamper protection afforded by the signed .msi file. In the end user license scenario, a fourth control set consisting of conditional syntax statements is included in the .msi file.
130. A system as in claim 2 further including means for executing said third control set within a protected processing environment.	The third control set is executed under the auspices of the CLR.
132. A system as in claim 130 in which said protected processing environment is located at a location other than said second location.	The third control set is executed at an end-user site within the CLR.
161. A system as in claim 2 in which said third control set includes controls containing human-language terms corresponding to at least certain of the machine-executable controls contained in said third control set.	In the end user license scenario, the third control set includes a fourth control set that requires that the human user agree with license terms displayed to the user. These human readable terms are referenced in the conditional syntax statement controls contained in the signed .msi file.
162. A method as in claim 161 in which said human-language terms are contained in one or more data descriptor data structures.	The .msi file is a data descriptor data structure.
170. A system as in claim 2 in which said means for creating a first secure control set includes a protected processing environment.	The creation of the first licensed component, including its licensed controls is carried out under the auspices of the CLR.
171. A system as in claim 2 in which said means for creating a second secure control set includes a protected processing environment.	The application design time environment and the creation of the .NET application is carried out under the auspices of the CLR.
172. A system as in claim 2 in which said means at said second location for securely integrating includes a protected processing environment.	The means for integrating the runtime license with the application controls is carried out under the auspices of the CLR.
329. A system as in claim 2 in which said	VS.NET runs under Windows.

means for creating a first secure control set includes an operating system based on or compatible with Microsoft Windows.	
330. A system as in claim 2 in which said means for creating a second secure control set includes an operating system based on or compatible with Microsoft Windows.	VS.NET runs under Windows.
331. A system as in claim 2 in which said means at said second location for securely integrating said first and second control sets includes an operating system based on or compatible with Microsoft Windows.	VS.NET runs under Windows.
346. A system as in claim 2 further comprising means by which said third control set governs the execution of at least one load module.	The third control set in the scenario described in the claim map for claim 2 governs a portable .NET executable designed to be loaded into the CLR environment (a CLR host).
347. A system as in claim 2 farther comprising means by which said third control set governs the execution of at least one method.	The third control set in the scenario described in the claim map for claim 2 governs a .NET executable. This executable contains one or more methods.
349. A system as in claim 2 further comprising means by which said third control set governs the execution of at least one procedure.	The third control set in the scenario described in the claim map for claim 2 governs a .NET executable. This executable contains one or more procedures.

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INTERTRUST INFRINGEMENT CHART
FOR U.S. PATENT NO. 6,112,181

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
48.	Infringing products include Microsoft SMS (Systems Management Server) 2.0 and subsequent versions.
A method for narrowcasting selected digital information to specified recipients, including:	
a) at a receiving appliance, receiving selected digital information from a sending appliance remote from the receiving appliance,	The *receiving appliance* is the client (e.g., end user computer in an Enterprise setting) receiving *digital information* (packages and/or advertisement files) from the *sending appliance*, the centralized SMS database via a Client Access Point and/or Distribution Point set up on a server.
the receiving appliance having a secure node and being associated with a specified recipient;	The "node" is "secure" as a result of SMS security, as well as how it identifies and selects clients. The "specified recipient" is the result of the *collection* identifying a specific client that meets the criteria for a package or advertisement.
i) the digital information having been selected at least in part based on the digital information's membership in a first class, wherein the first class membership was determined at least in part using rights management information; and	The *digital information* is a software package or advertisement. The "*first class membership was determined in part using rights management information*" reads on creating software packages (or advertisements) based on attributes of the software.
ii) the specified recipient having been selected at least in part based on membership in a second class, wherein the second class membership was determined at least in part on the basis of information derived from the specified recipient's creation, use of, or interaction with rights management information; and	The "specified recipient" is the client selected to receive a package or advertisement. That recipient is chosen based on a collection rule, or on the recipient's possession of a license.
b) the specified recipient using the receiving appliance to access the received selected digital information in accordance with rules and controls, associated with the selected digital information,	The *receiving appliance* is the client computer. The SMS agents on the client computer receive, evaluate and take the appropriate action based on *rules and controls* governing the package and/or advertisement (i.e. the *selected digital information*).
the rules and controls being enforced	Rules and controls are enforced by Agents on

by the receiving appliance secure node.	the client (the *secure node*)
59. The method of claim 48 wherein said received selected digital information is at least in part event information.	Event information includes SMS event information, including *Scheduling Classes* .
63. The method of claim 48 wherein said received selected digital information is at least in part executable software.	All SMS packages must include a minimum of one program.
70. The method of claim 48 wherein said rules and controls at least in part govern usage audit record creation.	A control governs whether a MIF (management information file) is sent back to the SMS db after installation is done to report on the success or failure of the installation.
89. The method of claim 48 wherein said receiving appliance is a personal computer.	The primary purpose of SMS is to manage software on personal computers throughout the Enterprise.

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
48.	Infringing products include Windows Media Player and Windows Media Rights Manager.
A method for narrowcasting selected digital information to specified recipients, including:	This claim pertains to Windows Media Player with Individualized DRM Client and Windows Media Rights Manager used in the context of a narrowcast pay-per-view (hear) media distribution service., simulcast and/or subscription services.
(a) at a receiving appliance, receiving selected digital information from a sending appliance remote from the receiving appliance, the receiving appliance having a secure node and being associated with a specified recipient	Receiving appliance is a user's PC with individualized DRM client (secure node). Specified recipient is a user using the specific individualized DRM client to access and render narrowcast pay-per-view media, simulcast and/or subscription services for which the user acquires a license.
(i) the digital information having been selected at least in part based on the digital information's membership in a first class, wherein the first class membership was determined at least in part using rights management information; and	The digital information is media that is narrowcast to licensed recipients. These narrowcast streams are licensed to users who have acquired licenses and whose PCs (appliances) support WMPs that have individualized DRM clients. This attribute is included in the signed WMA file header and is used in the process of acquiring licenses for access to the media. Media that are licensed to the recipient have their licenses bound to the recipient's Individualization module.
(ii) the specified recipient having been selected at least in part based on membership in a second class, wherein the second class membership was determined at least in part on the basis of information derived from the specified recipient's creation, use of, or interaction with rights management information; and	The recipient is selected for this content based on the fact that the recipient is a member of the class of recipients who have a license for the narrowcast media and whose devices support WMP and individualized DRM clients. The recipient's machine must indicate support for individualization in challenges that are sent as part of requests for media in this narrowcast class.
(b) the specified recipient using the receiving appliance to access the received selected digital information in accordance with rules and controls associated with the selected digital information, the rules and controls being enforced by the receiving appliance secure node.	Recipient's machine uses WMP and the individualized DRM client to access the narrowcast media in accordance with all rules associated with the media and contained in the media license – in particular, requirements that individualization be supported.

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CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
61. The method of claim 48 wherein said received selected digital information is at least in part entertainment information.	The digital information is Windows Media, which encodes audio/visual entertainment content.
62. The method of claim 61 wherein said entertainment information is at least in part music information.	Reads on narrowcast Windows Media Files that are music or audio/visual.
67. The method of claim 48 wherein said rules and controls at least in part use digital certificate information.	The license contains a digital certificate. The DRM client uses the certificate in the license to verify this signature and to verify that the header has not been tampered with.
72. The method of claim 48 wherein said rules and controls in part specifying at least one clearinghouse acceptable to rightsholders.	The signed header contains at least one URL that indicates to the Windows Media Rights Manager the license clearinghouse to be used in acquiring licenses.
75. The method of claim 72 wherein said at least one acceptable clearinghouse is a rights and permissions clearinghouse.	This clearinghouse is a license clearinghouse responsible for mapping rights and permissions onto requested content or narrowcasts and binding them to the requesting client environment or user of this environment.
89. The method of claim 48 wherein said receiving appliance is a personal computer.	Windows Media Player and the Individualized DRM client run on a personal computer.

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91	Infringing products include Windows Media Player and Windows Media Rights. Manager
A method for securely narrowcasting selected digital information to specified recipients including:	This claim pertains to Windows Media Player with Individualized DRM Client and Windows Media Rights Manager used in the context of a narrowcast simulcast, pay-per-view (hear) media distribution service. and/or subscription services. The content is delivered in a Protected Windows Media File.
(a) receiving selected digital information in a secure container at a receiving appliance remote from a sending appliance, the receiving appliance having a secure node, the receiving appliance being associated with a receiving entity	Narrowcast content is received in a Protected Windows Media File. Receiving appliance is user's PC with individualized DRM client (secure node).
(i) the digital information having been selected at least in part based on the digital information's membership in a first class,	The digital information is media that is narrowcast to licensed recipients (for example, a sold-out concert is narrowcast on the Internet to "the class of" licensed (or ticketed) viewers).
(ii) the first class membership having been determined at least in part using rights management information	These narrowcast streams are licensed to users who have acquired licenses and whose PCs (appliances) support WMPs that have individualized DRM clients. This attribute is included in the signed WMA file header and is used in the process of acquiring licenses for access to the media. Media that are licensed to the recipient have their licenses bound to the recipient's individualization module.
(b) the receiving entity having been selected at least in part based on said receiving entity's membership in a second class,	The recipient is selected for this content based on the fact that the recipient is a member of the class of recipients who has a license for the narrowcast media.
(i) the second class membership having been determined at least in part on the basis of information derived from the recipient entity's creation, use of, or interaction with rights management information	The recipient class is determined by the license bound to the user's device that supports WMP and individualized DRM clients. The recipient's machine must indicate support for individualization in challenges that are sent as part of requests for media in this narrowcast class.
(c) receiving at the receiving appliance rules and controls in a secure container,	Receives a protected Windows Media File
(i) the rules and controls having been associated with the selected digital information; and	Receives a license that is bound to the file as well as to the specific DRM client individualization information.
(d) using at the receiving appliance the selected digital information in accordance	Recipient's machine uses WMP and the individualized DRM client to access the

with the rules and controls,	narrowcast media in accordance with all rules associated with the media and contained in the media license – in particular, requirements that individualization be supported.
(i) the rules and controls being enforced by the receiving appliance secure node.	The WMP and DRM client enforce the rules embedded in the Protected Windows Media File License.
104. The method of claim 91 wherein said received selected digital information includes entertainment information.	The digital information is Windows Media, which encodes audio/visual entertainment content.
109. The method of claim 91 wherein said rules and controls at least in part use digital certificate information.	The license contains a digital certificate. The DRM client uses the certificate in the license to verify this signature and to verify that the header has not been tampered with.
114. The method of claim 91 wherein said rules and controls specify at least one clearinghouse acceptable to rightsholders.	The signed header contains at least one URL that indicates to the Windows Media Rights Manager the license clearinghouse to be used in acquiring licenses.
117. The method of claim 114 wherein said at least one acceptable clearinghouse is a rights and permissions clearinghouse.	This clearinghouse is a license clearinghouse responsible for mapping rights and permissions onto requested content or narrowcasts and binding them to the requesting client environment or user of this environment.
131. The method of claim 91 wherein said receiving appliance is a personal computer.	Windows Media Player and the individualized DRM client run on a personal computer.

CLAIM LANGUAGE	CLAIM OF INFRINGEMENT
1.	Products infringing: Microsoft Visual Studio .NET, .NET License Compiler, .NET Framework SDK, and .NET Common Language Runtime
A method including	A method for producing a third .NET component (application) that incorporates first and second .NET component whose distribution is license controlled.
creating a first secure container including a first governed item and having associated a first control;	The *first secure container* is a first signed .NET component that includes a license control. The *governed item* is the .NET component. The *first control* is the set of declarative statements comprising the LicenseProviderAttribute of a first .NET licensed component that provides for a design-time license to use the control. This attribute also specifies the type of license validation that occurs.
creating a second secure container including a second governed item and having associated a second control;	The *second secure container* is the second signed .NET component that includes a license control. The *governed item* is the .NET component. The *second control* is the set of declarative statements comprising the LicenseProviderAttribute of a second .NET licensed component that provides for a design-time license to use the control. This attribute also specifies the type of license validation that occurs.
transferring the first secure container from a first location to a second location;	The creator distributes a signed and licensed .NET component. An application developer at a second location downloads a first .NET component for inclusion into an application.
transferring the second secure container from a third location to the second location;	A creator distributes a signed and licensed .NET component from a different location. Application developer downloads a second .NET component for inclusion into an application.

1		
2	at the second location, obtaining access to at least a portion of the first governed item, the access being governed at least in part by the first control;	At the *second location*, the application developer uses the .NET runtime that includes the LicenseManager to access a *first governed item.*
3		
4		Whenever a class (control or component) is instantiated (here, an instance of the first .NET licensed component), the license manager accesses the proper validation mechanism for the control or component.
5		
6		
7		The *first control* comprises the declarative statement(s) (including licensing statements, and code access security statements) of the first .NET component.
8		
9		
10	at the second location, obtaining access to at least a portion of the second governed item, the access being governed at least in part by the second control;	At the *second location*, the application developer uses the .NET runtime that includes the LicenseManager to access a *second governed item.*
11		
12		Whenever a class (control or component) is instantiated (here, an instance of the second .NET licensed component), the license manager accesses the proper validation mechanism for the control or component.
13		
14		The *second control* comprises the declarative statement(s) (including licensing statements, and code access security statements) of the second .NET component.
15		
16		
17	at the second location, creating a third secure container including at least a portion of the first governed item and at least a portion of the second governed item and having associated at least one control, the creation being governed at least in part by the first control and the second control.	At the *second location*, the application developer uses the .NET runtime that includes the LicenseManager to access a *first governed item* and *second governed item* to construct an application, the *third secure container.*
18		
19		
20		*Creation governance* is accomplished by invoking the .NET runtime to access the *first governed item* and the *second governed item.*
21		
22		Whenever a class (control or component) is instantiated the license manager accesses the proper validation mechanism for the control or component.
23		
24		The *portions* of the first governed item and second governed item that are being included in the third secure container will typically include the governed items themselves, ie. the .NET components.
25		
26		
27		The *associated control* in this case is the LicenseProviderAttribute, created and inserted into the application.
28		

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EXHIBIT C

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2
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7 **EXHIBIT C**
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12 **CONFIDENTIAL—SUBJECT TO PROTECTIVE ORDER OF NOVEMBER 19, 2001:**
Exhibit C contains documents or things that are the subject of a Protective Order of this
13 Court and cannot be opened or its contents made available to anyone other than this C urt
or counsel of record for the parties.
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PATENT INITIAL DISCLOSURES, '683, '193, '861, '721, '891, '900, '912, '019, '876, '181, and '402 PATENTS
CASE NO. C 01-1640 SBA (MEJ), CONSOLIDATED WITH C 02-0647 SBA